

The background of the slide is a photograph of a university campus. A large, leafy green tree stands in the foreground on the right. In the background, there is a large, historic stone building with Gothic architectural features, including a prominent arched entrance and multiple windows. A paved walkway leads towards the building, and a small stone structure is visible on the left. The sky is blue with some light clouds.

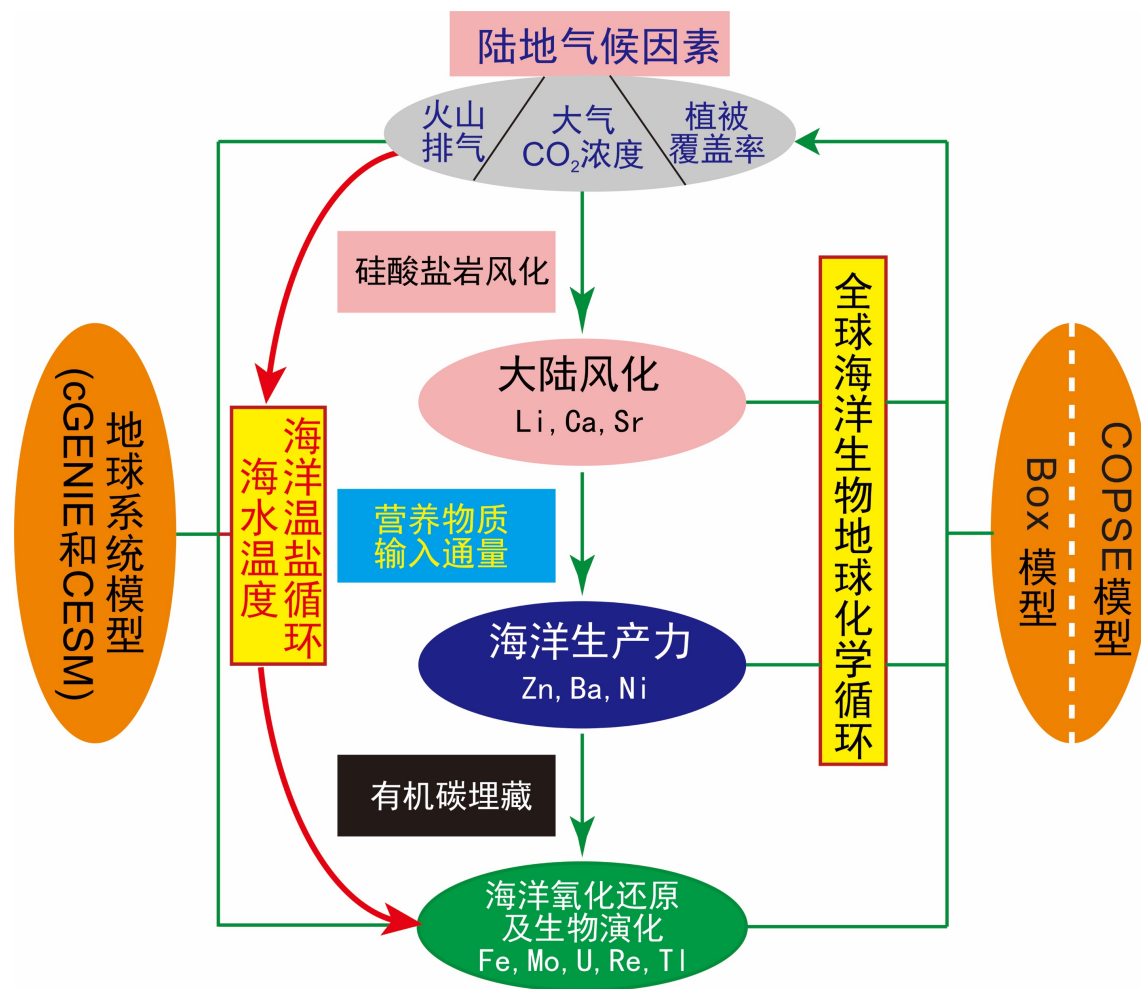
重金属稳定同位素与古海洋学：从定性走向定量的进展 与思考

张飞飞

南京大学 地球科学与工程学院
南京大学生物演化与环境科教融合中心
fzhang@nju.edu.cn

主要研究方向：环境与生命协同演化

- 金属稳定同位素分析测试方法
- 金属稳定同位素低温过程分馏理论与成岩作用改造
- 环境与生命协同演化的过程与机制
- 将实测地球化学数据与不同层次、复杂度模式的定量模型深度融合



古海洋学：我们关心哪些方面？

海洋缺氧导致鱼类大量死亡



海洋酸化导致珊瑚大量死亡



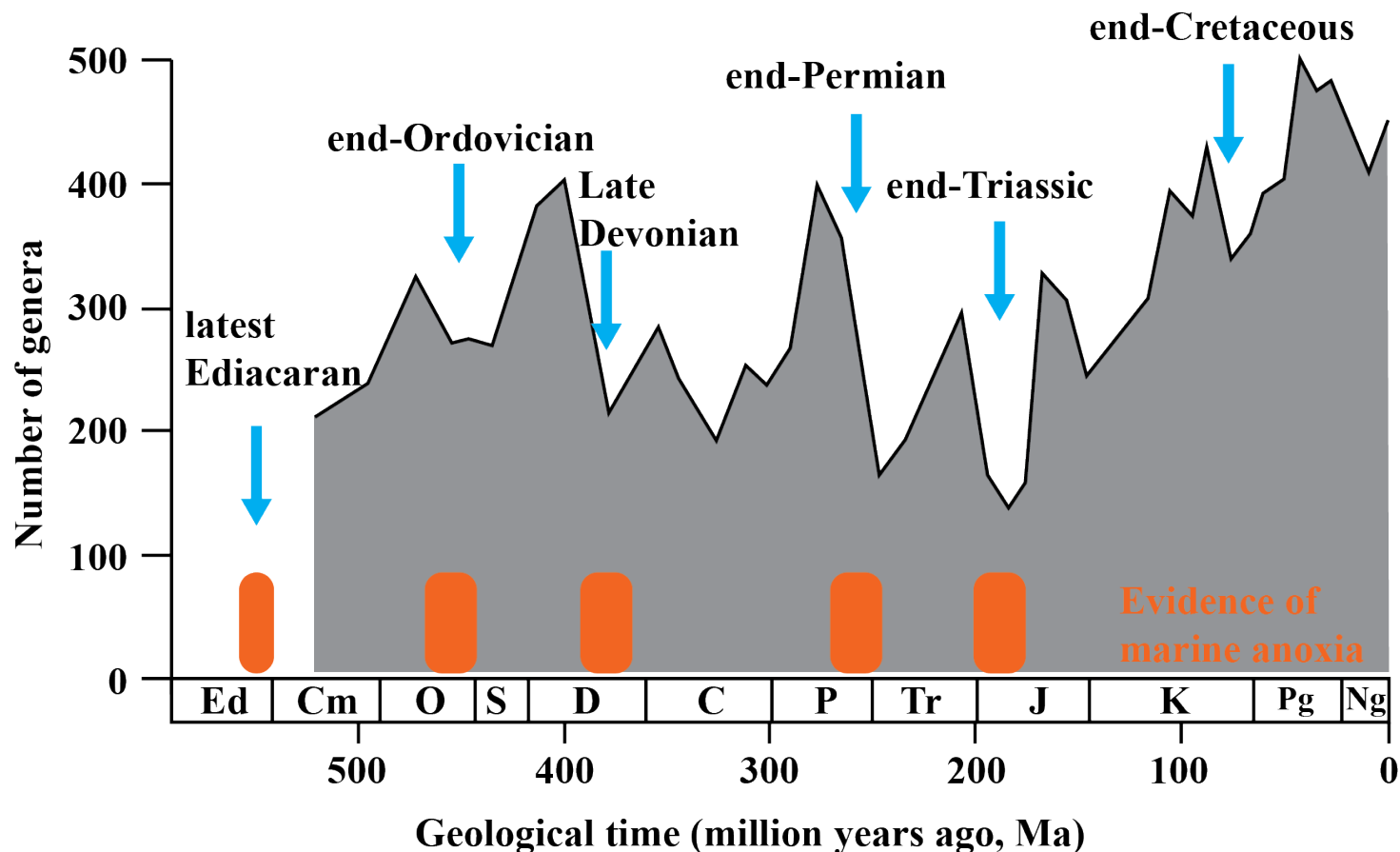
海洋富营养化导致藻类大量繁殖



为什么关心海洋氧化还原状态？

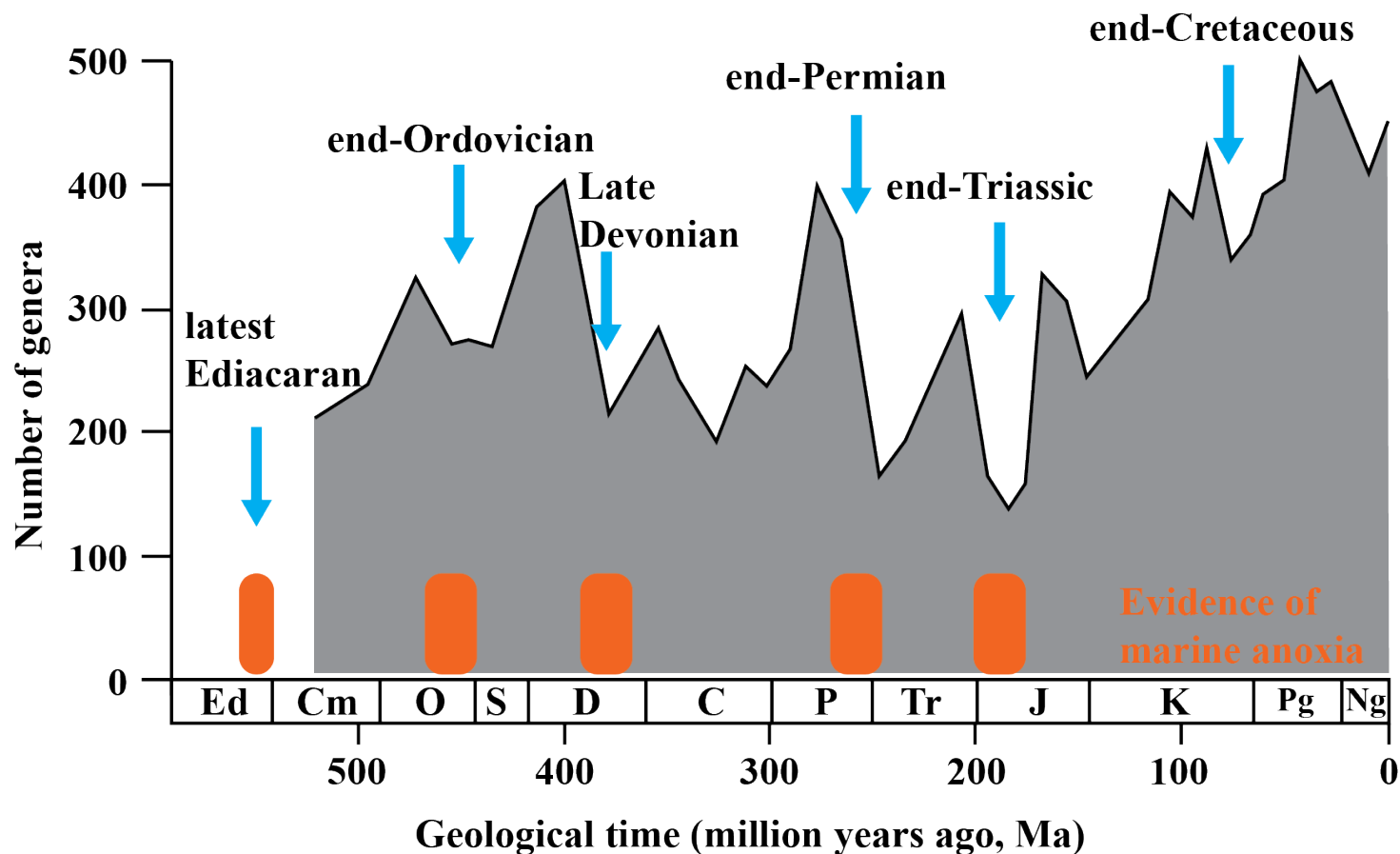
海洋与大气中 O_2 浓度的变化深刻影响/改变了生物的演化历程

埃迪卡拉纪末期生物灭绝和显生宙五次生物大灭绝中的前4次（奥陶纪末、泥盆纪末、二叠纪末、三叠纪末）都与海洋缺氧相关。



为什么关心海洋氧化还原状态？

精确定量重建地质历史时期海洋和大气的氧化历史，是理解地球生命起源与演化，以及地球是如何逐步演化为一个宜居星球的基础。



碳酸盐岩U同位素

$\delta^{238}\text{U} = [({}^{238}\text{U}/{}^{235}\text{U})_{\text{sample}}/({}^{238}\text{U}/{}^{235}\text{U})_{\text{CRM-145a}}-1] \times 10^3$

- U 在表生系统中主要呈现两种化合价态:
 - U(VI): 易溶于氧化性海水中
 - U(IV): 难溶于氧化性海水中
- U(VI) 还原成U(IV) 过程中，伴随着较大的U同位素分馏
 - 还原态的U(IV) 富集重铀的同位素²³⁸U
 - 核体积效应主导的同位素分馏效应

Main isotopes of uranium (⁹² U)				
Isotope			Decay	
	abun- dance	half-life (<i>t</i> _{1/2})	mode	pro- duct
²³² U	syn	68.9 y	SF	—
			α	²²⁸ Th
²³³ U	trace	1.592×10 ⁵ y	SF	—
			α	²²⁹ Th
²³⁴ U	0.005%	2.455×10 ⁵ y	SF	—
			α	²³⁰ Th
²³⁵ U	0.720%	7.04×10 ⁸ y	SF	—
			α	²³¹ Th
²³⁶ U	trace	2.342×10 ⁷ y	SF	—
			α	²³² Th
²³⁸ U	99.274%	4.468×10 ⁹ y	α	²³⁴ Th
			SF	—
			β [−] β [−]	²³⁸ Pu

Standard atomic weight

238.028 91(3)^[1]

U同位素在海洋中质量平衡示意图

➤ Sources:

Riverine input

Ground water
Aeolian

➤ Sinks:

Euxinic sediments

Reducing sediments

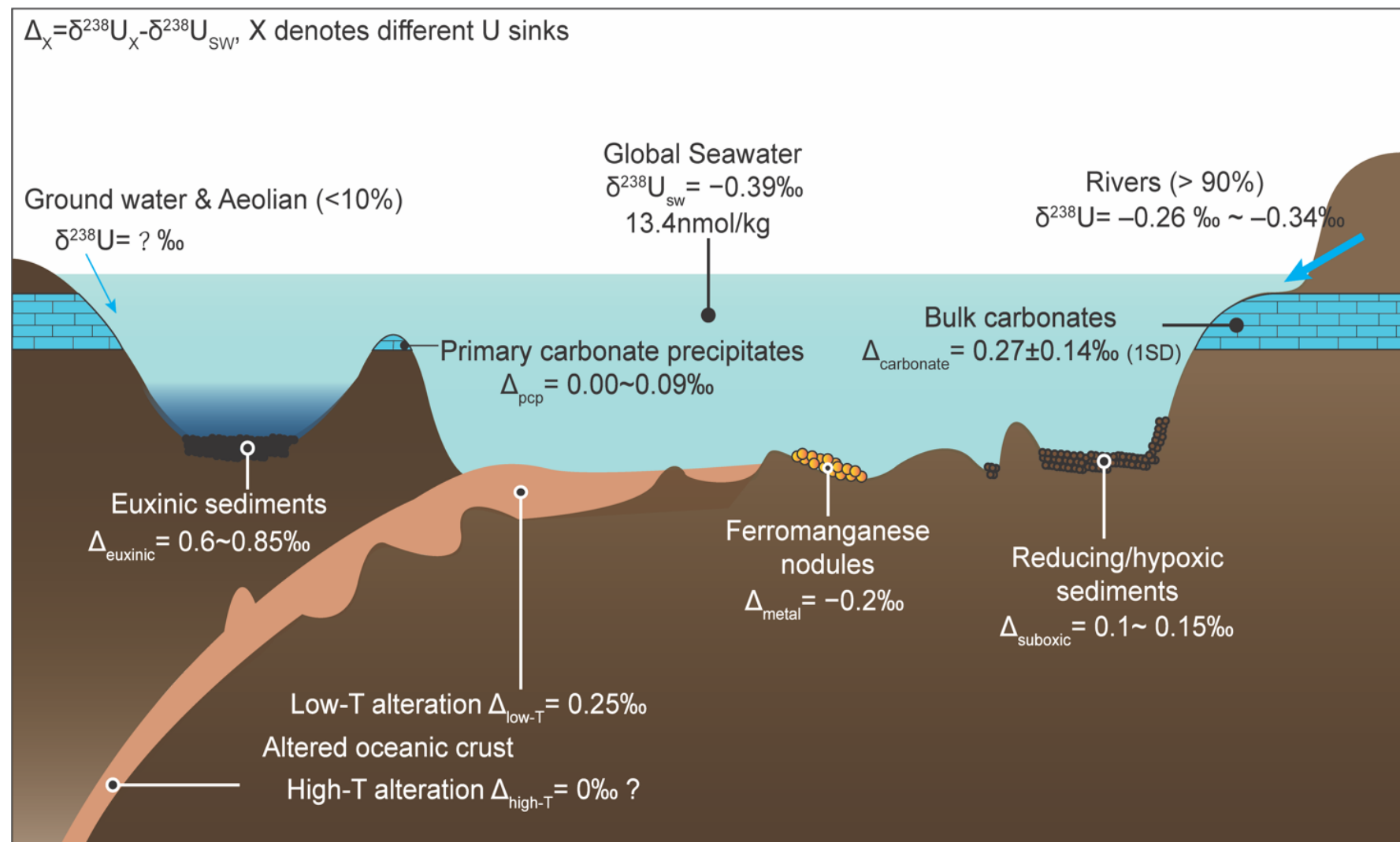
Carbonates

Low-T alteration

High-T alteration

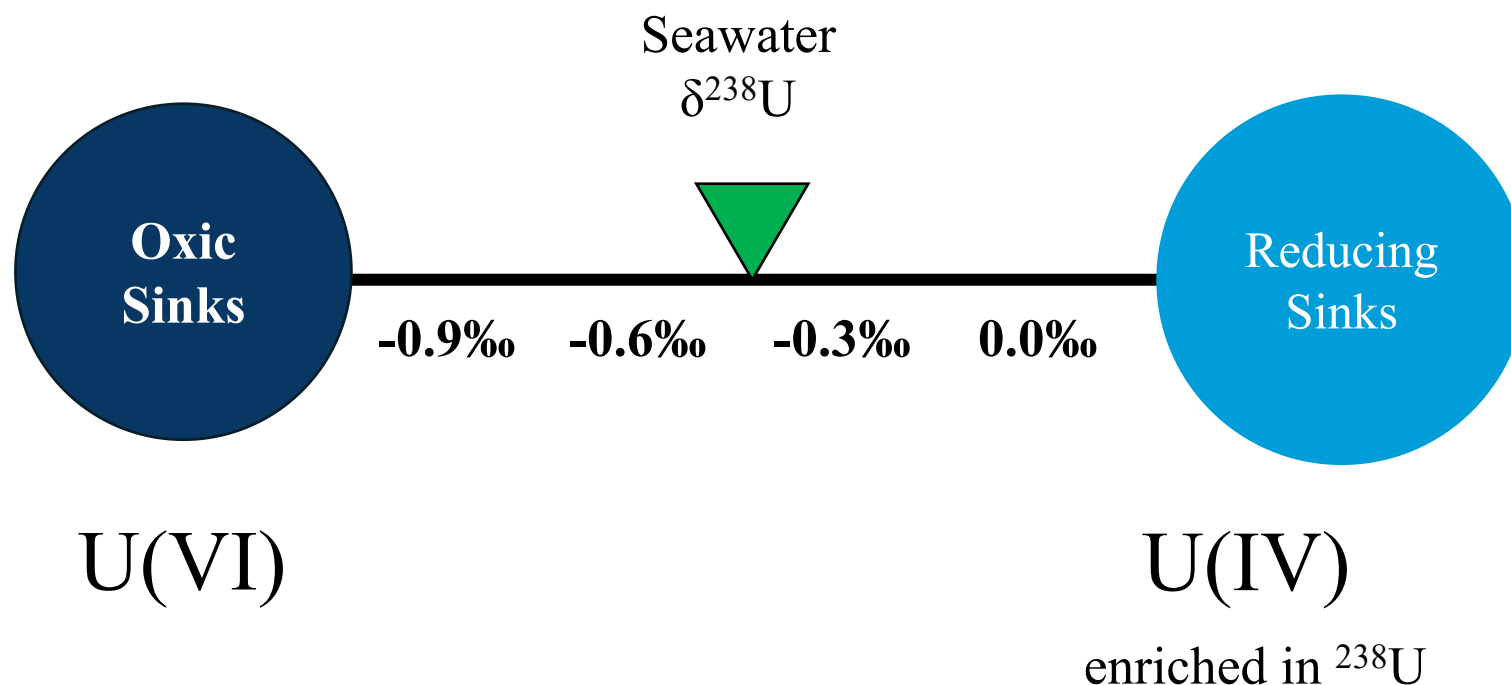
Ferromanganese

Others



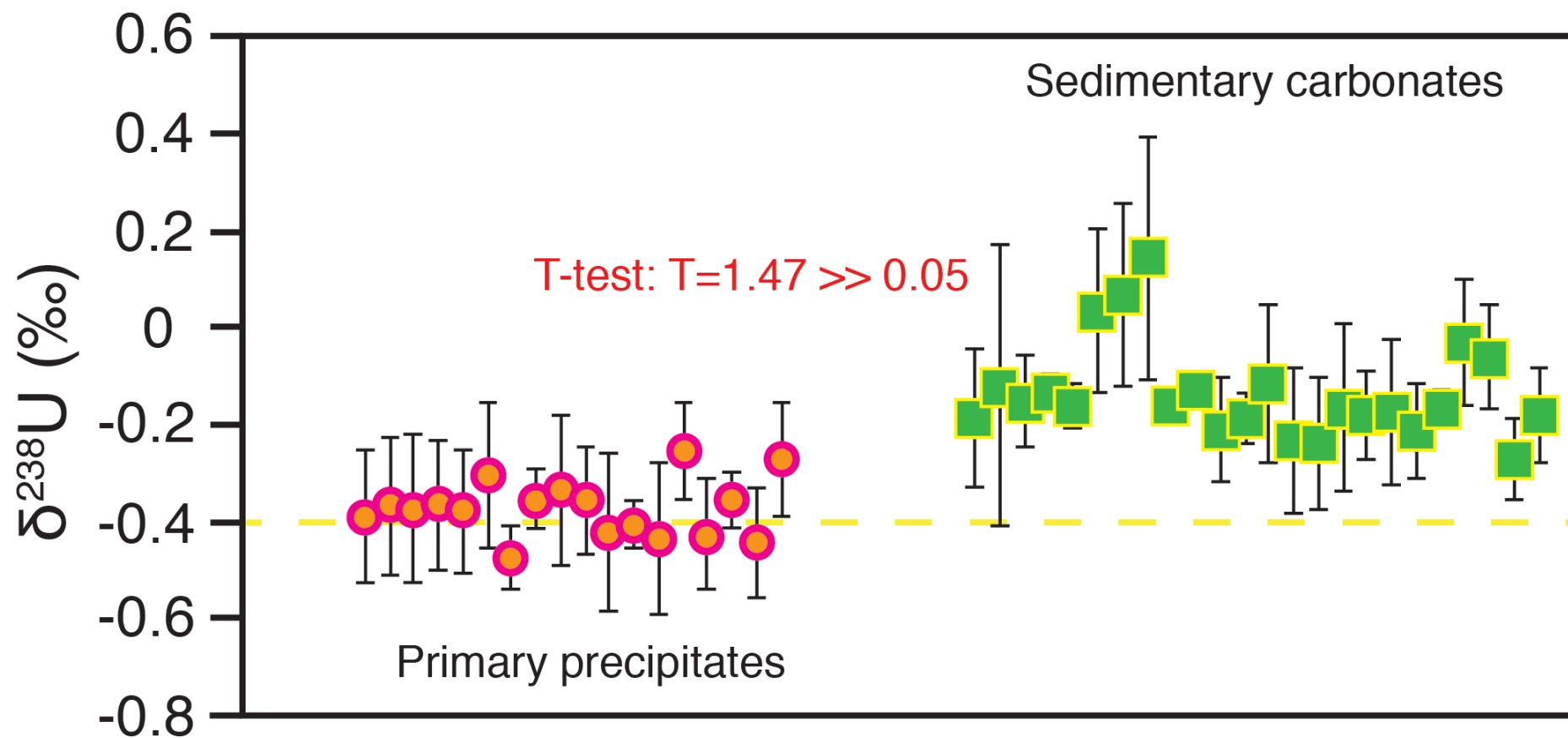
碳酸盐岩U同位素

当海洋出现大面积缺氧时，海水U同位素值逐渐降低



U在海洋中的居留时间为 ~ 500 kyr，远远长于海水混合时间 (~ 1 kyr)，因此， $\delta^{238}\text{U}$ 在海水中混合十分均一；换言之，一个剖面的U同位素即可代表全球海水的U同位素变化趋势。

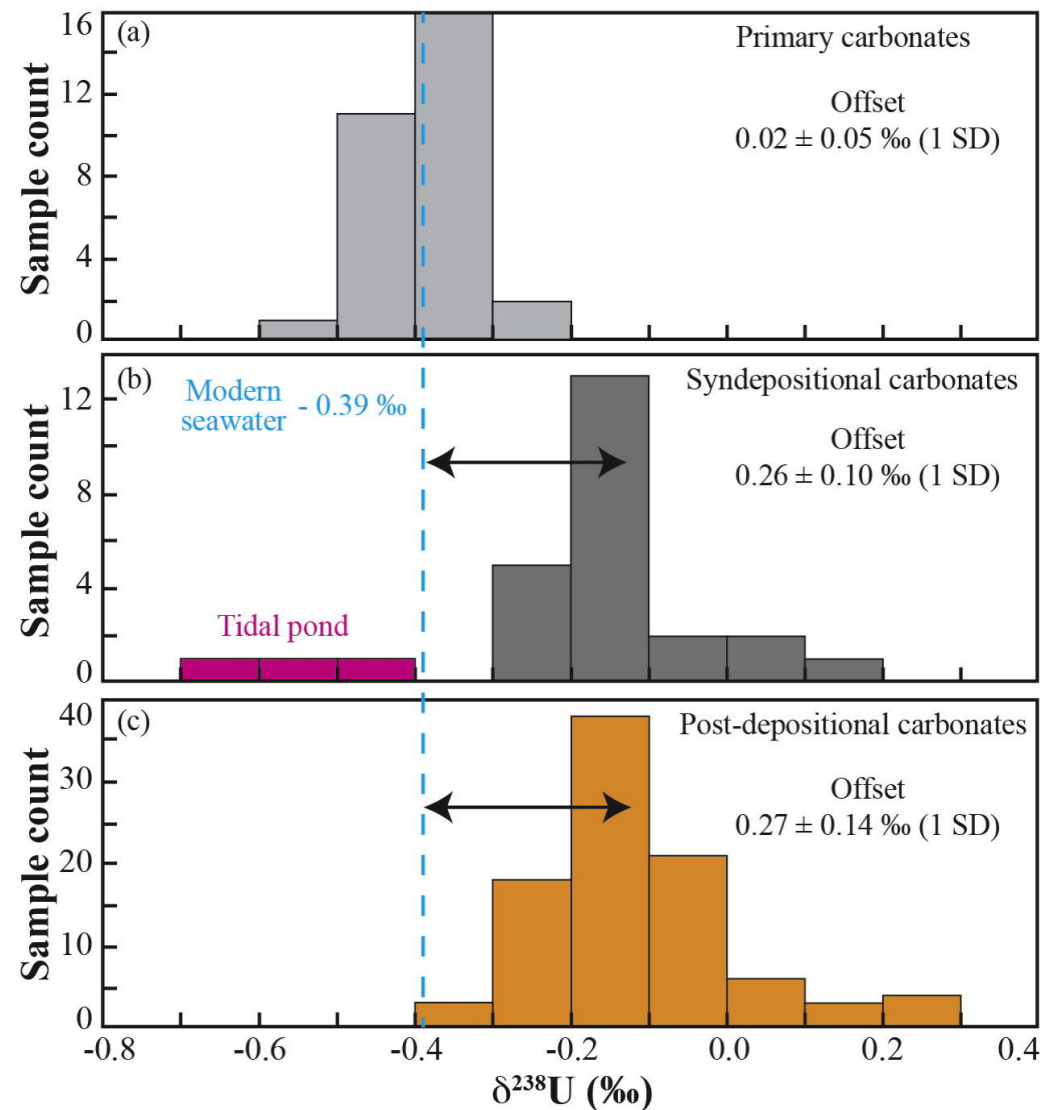
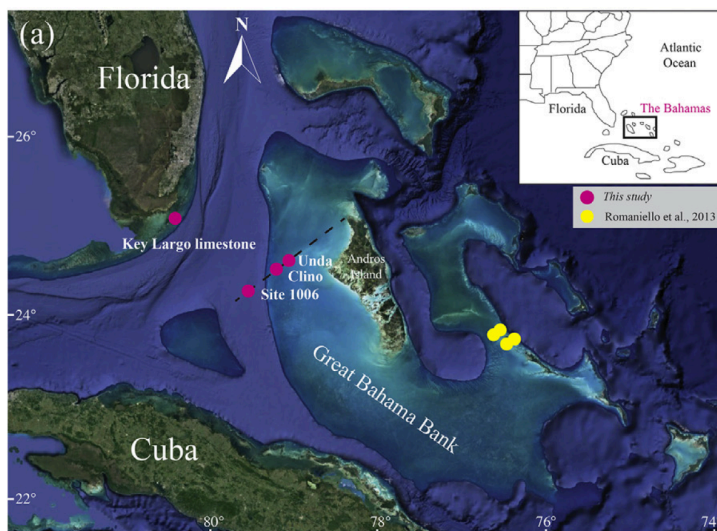
碳酸盐岩U同位素



原生生物成因碳酸盐岩可以记录海水的 $\delta^{238}\text{U}$ 值；沉积碳酸盐岩与上覆海水之间存在一个系统偏差，平均偏差为+0.26‰。

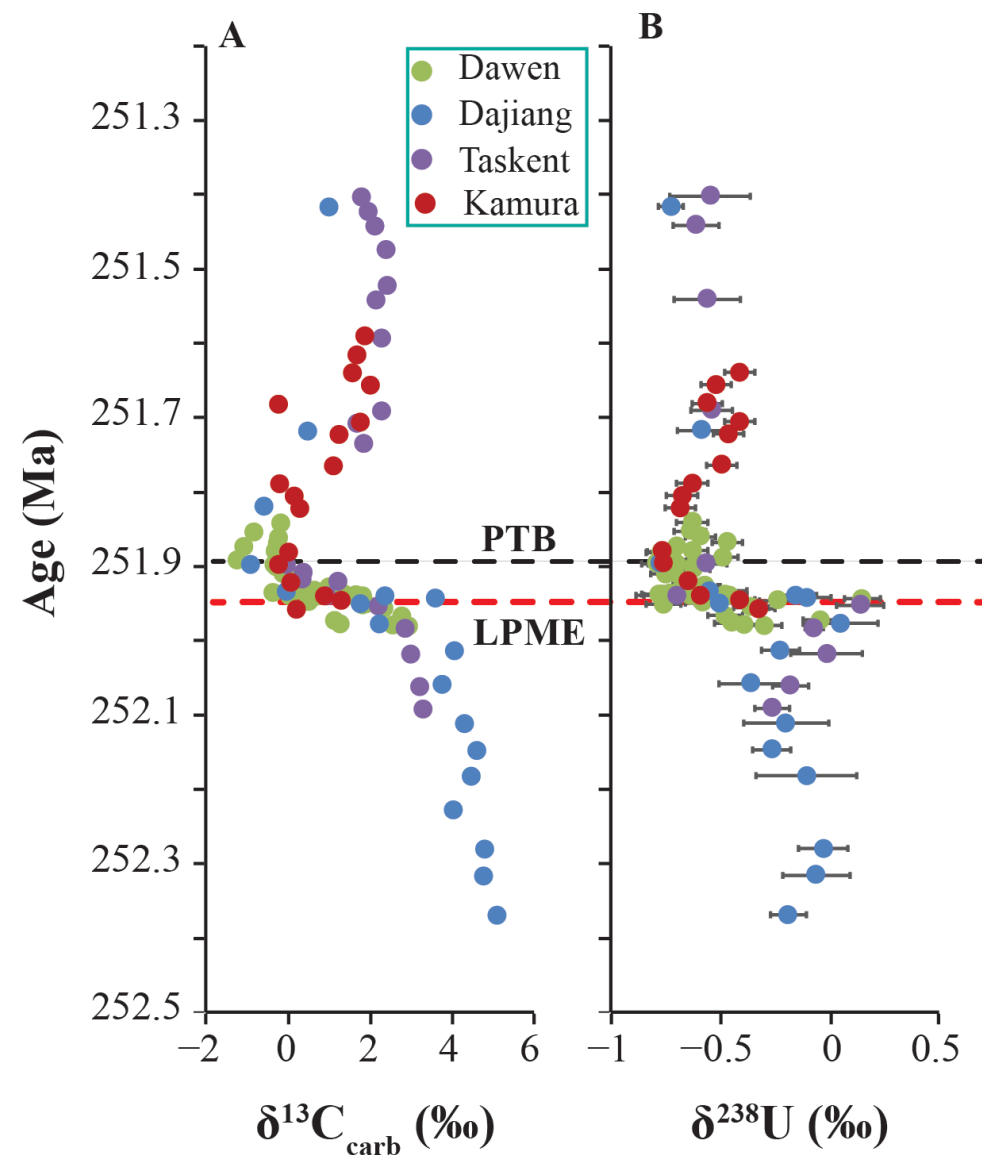
碳酸盐岩U同位素

在早期成岩过程（碳酸盐泥晶被压实变成岩石过程）中，受孔隙水化学性质影响，部分富集 ^{238}U 的U(IV)会进入碳酸盐岩中，造成碳酸盐岩相对上覆海水存在一个系统、相对较稳定的偏差。



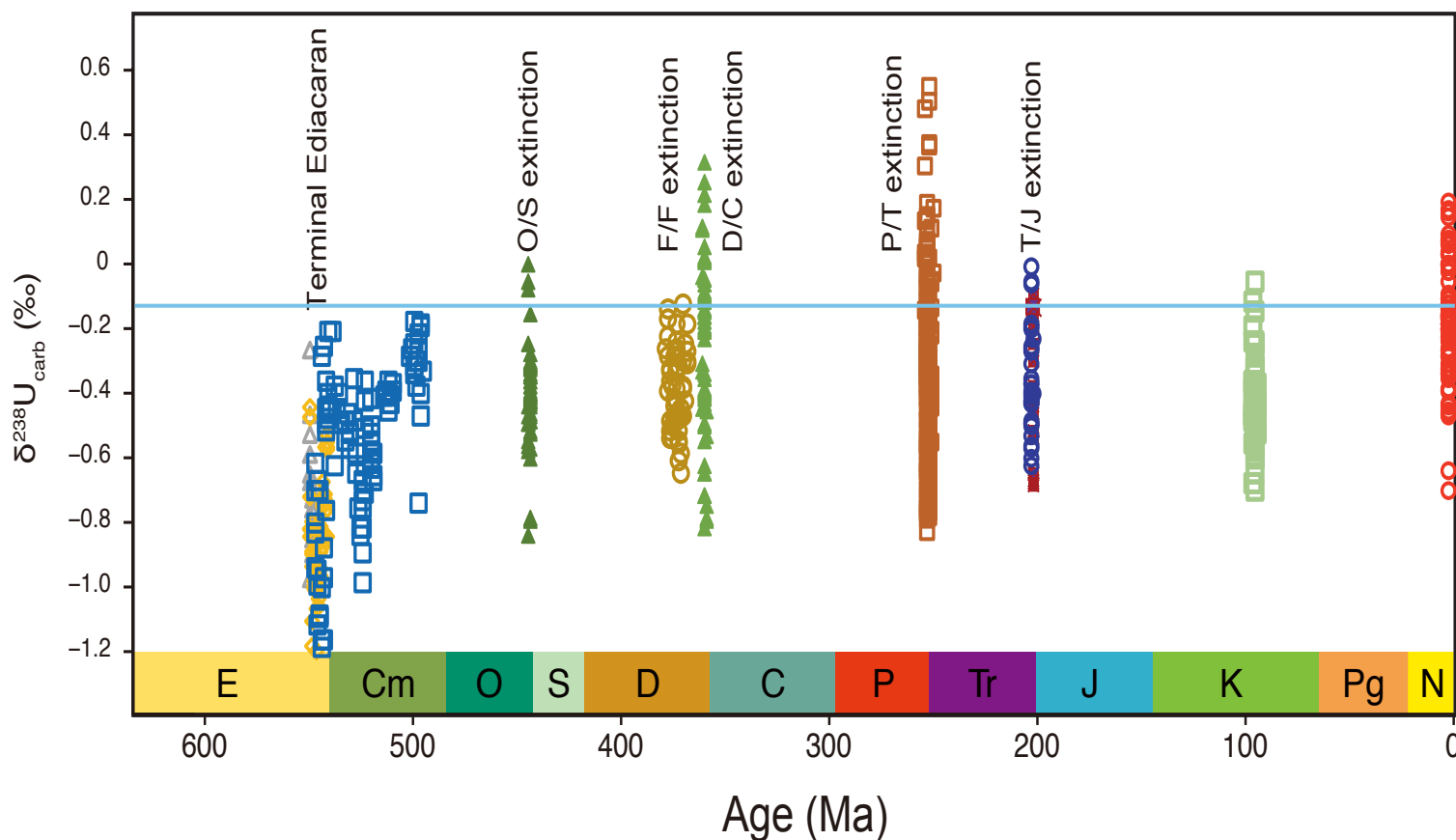
碳酸盐岩U同位素

A global compilation of $\delta^{238}\text{U}$ and $\delta^{13}\text{C}$ across the end-Permian mass extinction



碳酸盐岩U同位素

地质历史时期，重大生物灭绝事件几乎都伴随着显著的海洋缺氧事件



U同位素的基本质量平衡计算

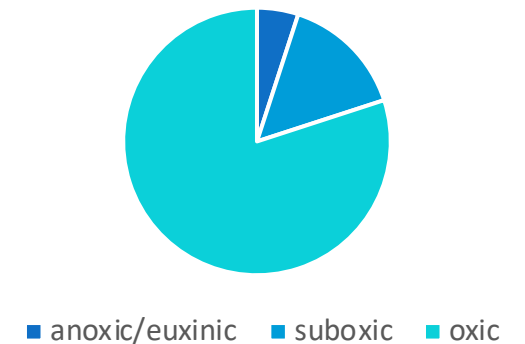
The rate of change of N_{sw} is equal to the source of U (rivers) minus the various sinks for U (e.g. anoxic, suboxic, oxic, etc):

$$\frac{dN_{sw}}{dt} = F_{input} - F_{anoxic} - F_{suboxic} - F_{oxic}$$

We can write a similar equation for the U isotopic composition of seawater which depends on the mass-weighted isotopic composition of each sink:

$$\frac{d(N_{sw} \cdot \delta^{238}U_{sw})}{dt} = F_{input} \cdot \delta^{238}U_{input} - F_{anoxic} \cdot \delta^{238}U_{anoxic} - F_{suboxic} \cdot \delta^{238}U_{suboxic} - F_{oxic} \cdot \delta^{238}U_{oxic}$$

distribution of seafloor areas



U同位素的基本质量平衡计算

Steady State Solutions: at steady state, the sum of all inputs and outputs of U to seawater are equal, and thus the concentration and U isotopic composition of seawater through time is constant.

$$\frac{dN_{sw}}{dt} = F_{input} - F_{anoxic} - F_{suboxic} - F_{oxic} = 0$$

$$\frac{d(N_{sw} \cdot \delta^{238}U_{sw})}{dt} = F_{input} \cdot \delta^{238}U_{input} - F_{anoxic} \cdot \delta^{238}U_{anoxic} - F_{suboxic} \cdot \delta^{238}U_{suboxic} - F_{oxic} \cdot \delta^{238}U_{oxic} = 0$$

The source and sink terms are equal

$$F_{input} = F_{anoxic} + F_{suboxic} + F_{oxic}$$

$$F_{input} \cdot \delta^{238}U_{input} = F_{anoxic} \cdot \delta^{238}U_{anoxic} + F_{suboxic} \cdot \delta^{238}U_{suboxic} + F_{oxic} \cdot \delta^{238}U_{oxic}$$

U同位素的基本质量平衡计算

Defining Metal Burial Rates:

$$F_{anoxic} = k_{anoxic} \cdot A_{anoxic} \cdot [U]$$

$$F_{suboxic} = k_{suboxic} \cdot A_{suboxic} \cdot [U]$$

$$F_{oxic} = k_{oxic} \cdot A_{oxic} \cdot [U]$$

Defining the δ values of each sink:

$$\delta^{238}U_{anoxic} = \delta^{238}U_{sw} + \Delta_{anoxic}$$

$$\delta^{238}U_{suboxic} = \delta^{238}U_{sw} + \Delta_{suboxic}$$

$$\delta^{238}U_{oxic} = \delta^{238}U_{sw} + \Delta_{oxic}$$

Defining fraction of each seafloor areas:

$$f_{anoxic} = \frac{A_{anoxic}}{A_{ocean}}$$

$$f_{suboxic} = \frac{A_{suboxic}}{A_{ocean}}$$

$$f_{oxic} = \frac{A_{oxic}}{A_{ocean}}$$

$$\delta^{238}U_{sw} = \delta^{238}U_{input} - \frac{f_{anoxic} \cdot k_{anoxic} \cdot \Delta_{anoxic} + f_{suboxic} \cdot k_{suboxic} \cdot \Delta_{suboxic} + f_{oxic} \cdot k_{oxic} \cdot \Delta_{oxic}}{f_{anoxic} \cdot k_{anoxic} + f_{suboxic} \cdot k_{suboxic} + f_{oxic} \cdot k_{oxic}}$$

U同位素的基本质量平衡计算

$$\frac{dN_{sw}}{dt} = F_{input} - F_{anoxic} - F_{suboxic} - F_{oxic}$$

$$\frac{d(N_{sw} \cdot \delta^{238}U_{sw})}{dt} = F_{input} \cdot \delta^{238}U_{input} - F_{anoxic} \cdot \delta^{238}U_{anoxic} - F_{suboxic} \cdot \delta^{238}U_{suboxic} - F_{oxic} \cdot \delta^{238}U_{oxic}$$

$$F_{anoxic} = k_{anoxic} \cdot A_{anoxic} \cdot [U]$$

$$F_{suboxic} = k_{suboxic} \cdot A_{suboxic} \cdot [U]$$

$$F_{oxic} = k_{oxic} \cdot A_{oxic} \cdot [U]$$

$$\delta^{238}U_{anoxic} = \delta^{238}U_{sw} + \Delta_{anoxic}$$

$$\delta^{238}U_{suboxic} = \delta^{238}U_{sw} + \Delta_{suboxic}$$

$$\delta^{238}U_{oxic} = \delta^{238}U_{sw} + \Delta_{oxic}$$

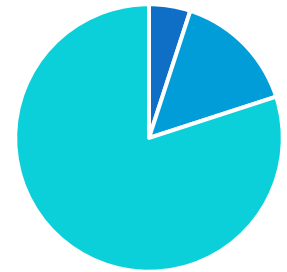
$$f_{anoxic} = \frac{A_{anoxic}}{A_{ocean}}$$

$$f_{suboxic} = \frac{A_{suboxic}}{A_{ocean}}$$

$$f_{oxic} = \frac{A_{oxic}}{A_{ocean}}$$

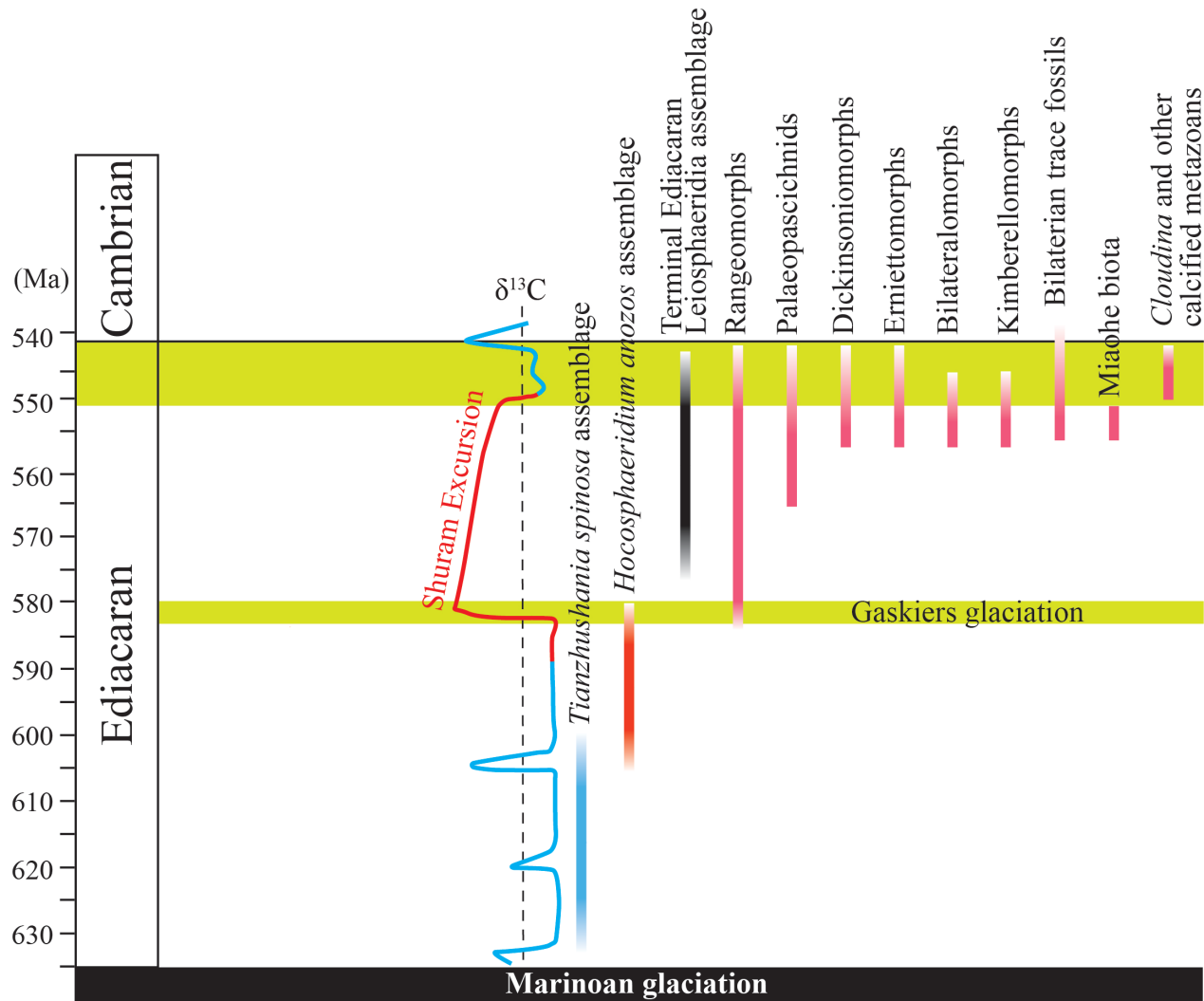
$$\delta^{238}U_{sw} = \delta^{238}U_{input} - \frac{f_{anoxic} \cdot k_{anoxic} \cdot \Delta_{anoxic} + f_{suboxic} \cdot k_{suboxic} \cdot \Delta_{suboxic} + f_{oxic} \cdot k_{oxic} \cdot \Delta_{oxic}}{f_{anoxic} \cdot k_{anoxic} + f_{suboxic} \cdot k_{suboxic} + f_{oxic} \cdot k_{oxic}}$$

distribution of seafloor areas



■ anoxic/euxinic ■ suboxic ■ oxic

伊迪卡拉纪多细胞动物出现与消亡与海洋环境的关系



Xiao et al., 2016

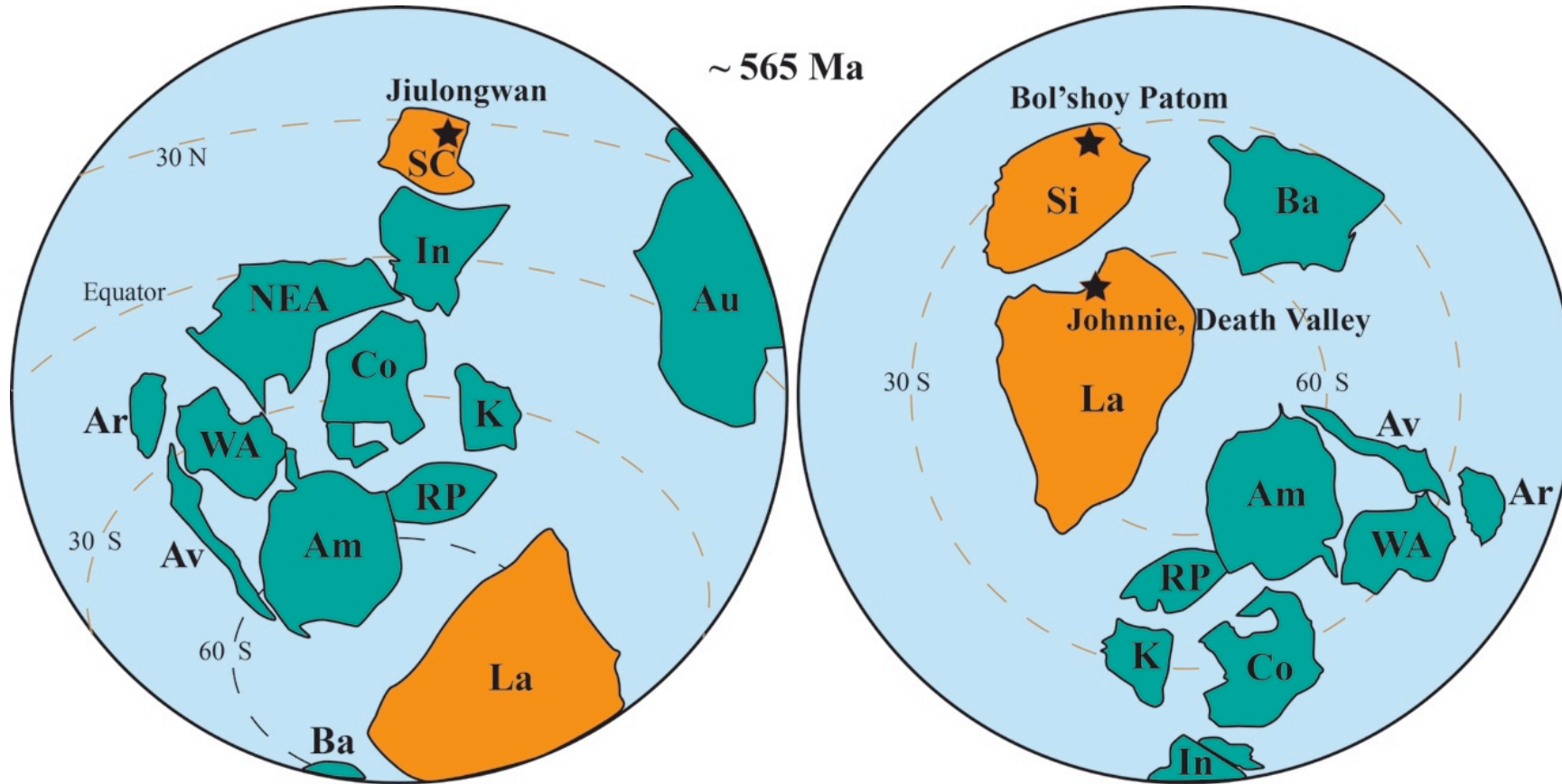
- The complex animals (the Ediacara biota) first appeared about 575 Ma, shortly postdate the Shuram Excursion (SE)
- The Ediacara biota begun to decline at ~560 Ma and eventually disappeared at the Ediacaran-Cambrian transition at about 541 Ma

伊迪卡拉纪多细胞动物出现与消亡与海洋环境的关系

- What was the global marine redox state across the Ediacaran Shuram Excursion?
- What was the global marine redox state during the last 10 Ma of the Ediacaran Period?

伊迪卡拉纪多细胞动物出现与消亡与海洋环境的关系

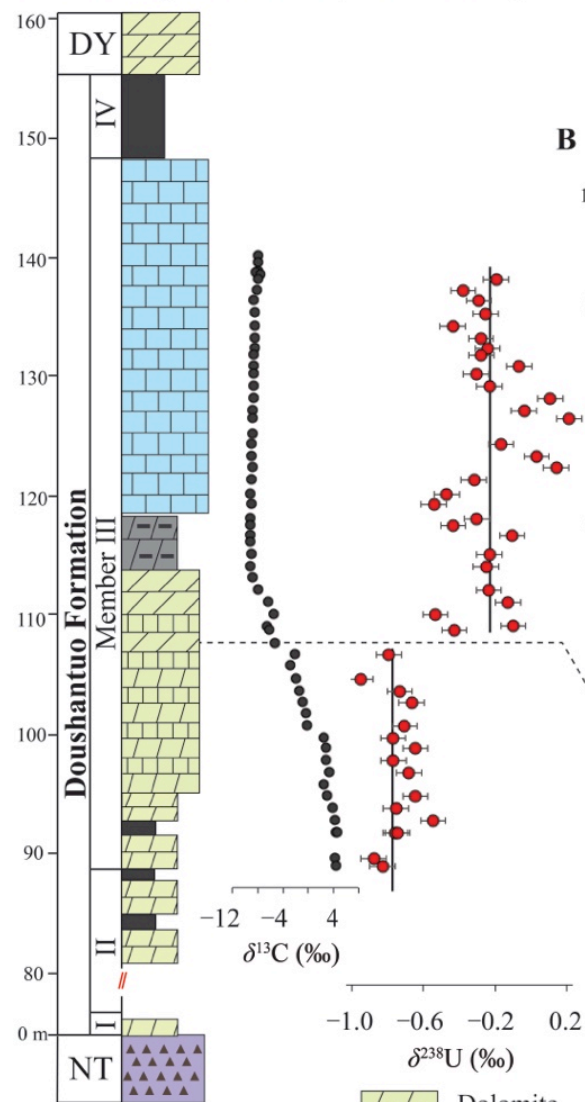
Three classic Shuram sections



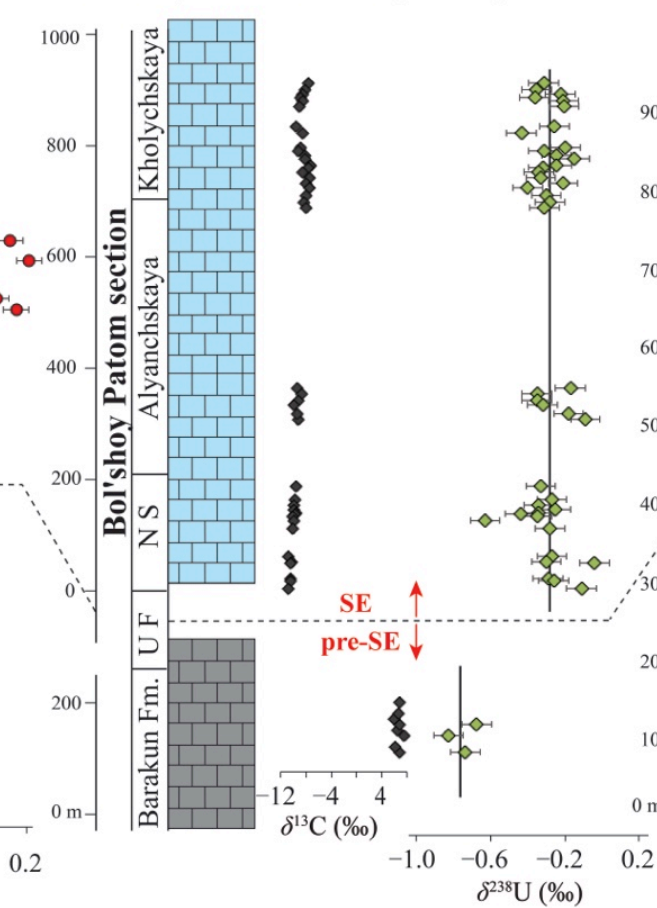
modified after Meert and Lieberman, 2008

伊迪卡拉纪多细胞动物出现与消亡与海洋环境的关系

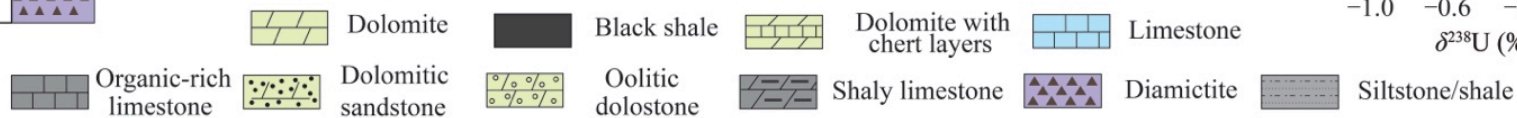
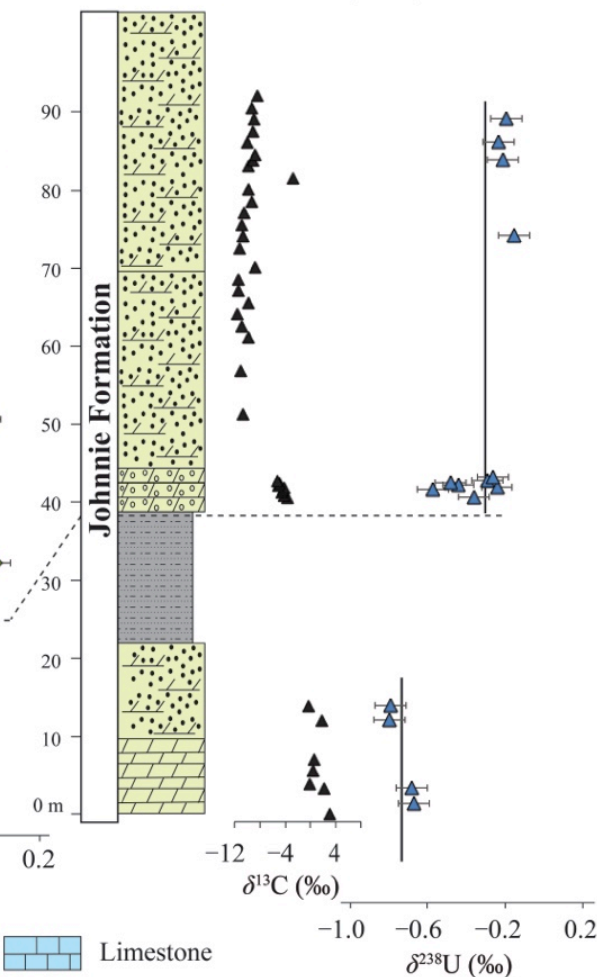
A Jiulongwan section (South China)



B Bol'shoy Patom section (Siberia)

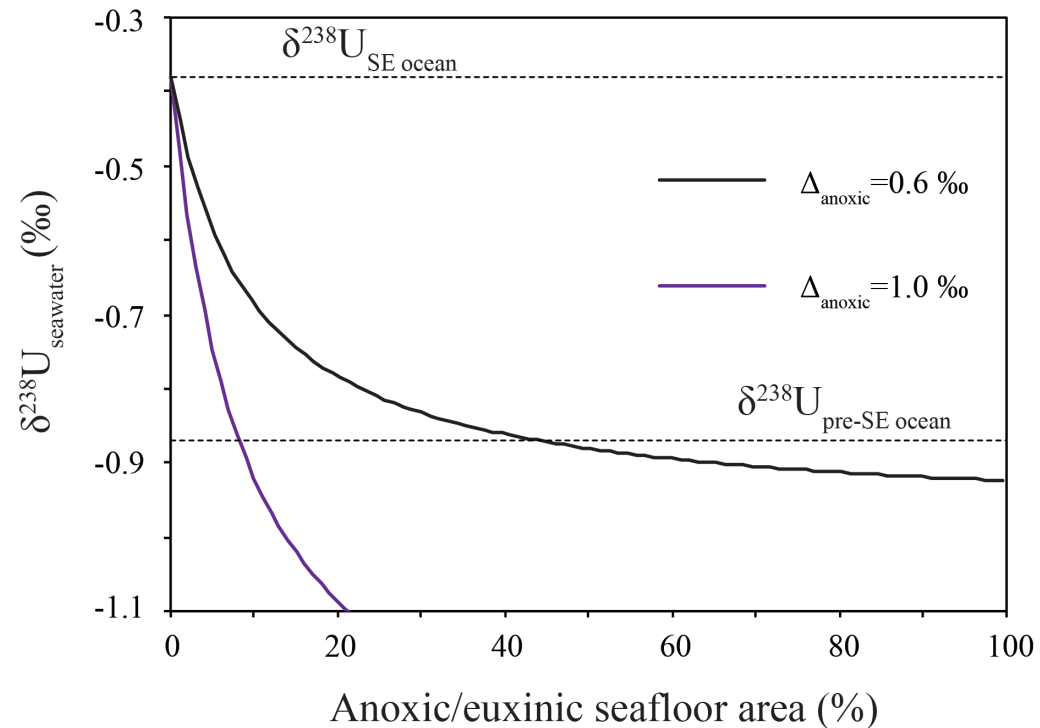


C Johnnie Formation (USA)



伊迪卡拉纪多细胞动物出现与消亡与海洋环境的关系

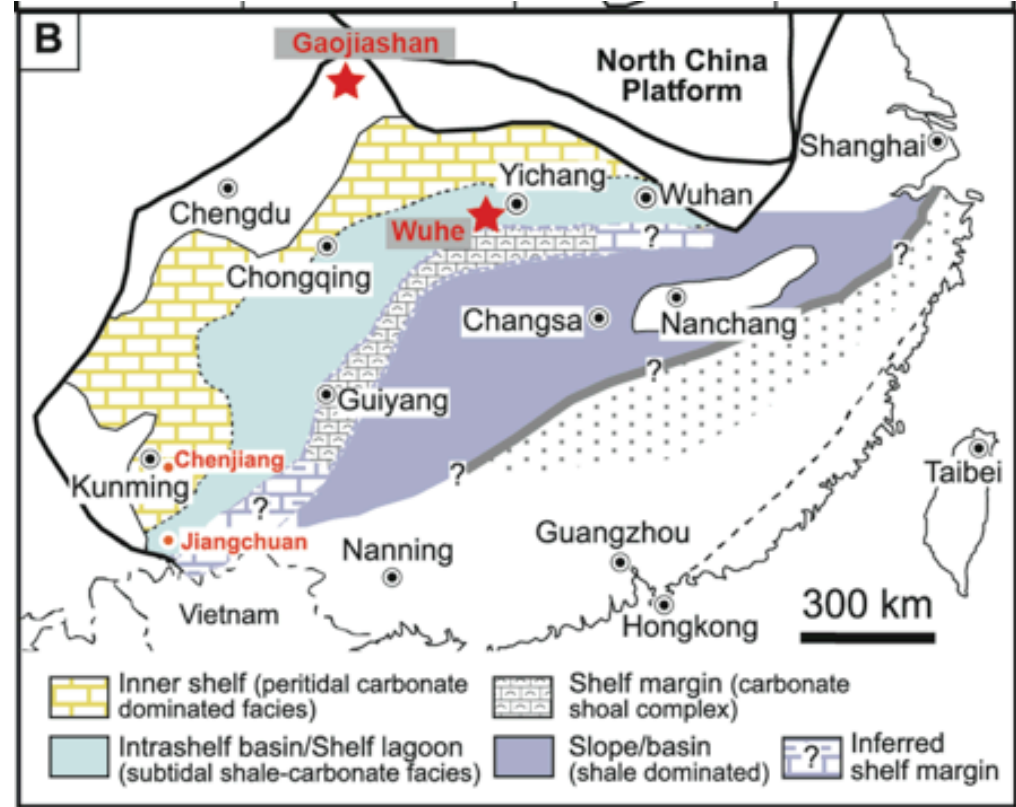
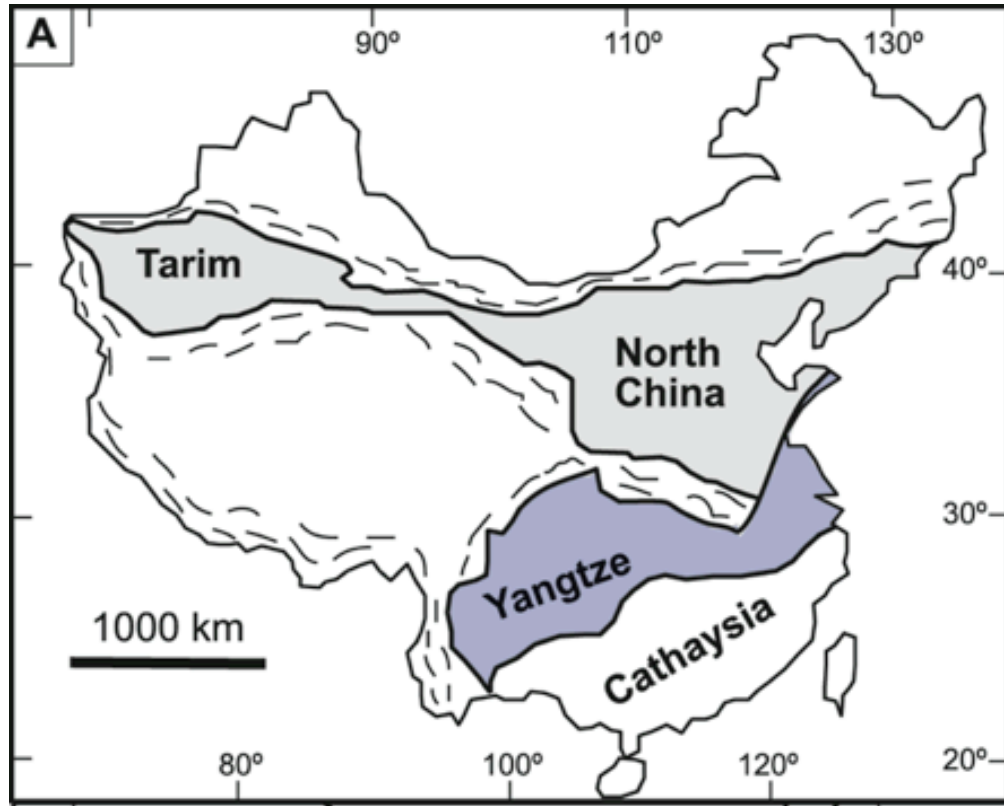
$$\delta^{238}\text{U}_{sw} = \delta^{238}\text{U}_{input} - \frac{f_{anoxic} \cdot k_{anoxic} \cdot \Delta_{anoxic} + f_{suboxic} \cdot k_{suboxic} \cdot \Delta_{suboxic} + f_{oxic} \cdot k_{oxic} \cdot \Delta_{oxic}}{f_{anoxic} \cdot k_{anoxic} + f_{suboxic} \cdot k_{suboxic} + f_{oxic} \cdot k_{oxic}}$$



In the pre-SE ocean: >45% of seafloor was overlain by anoxic waters

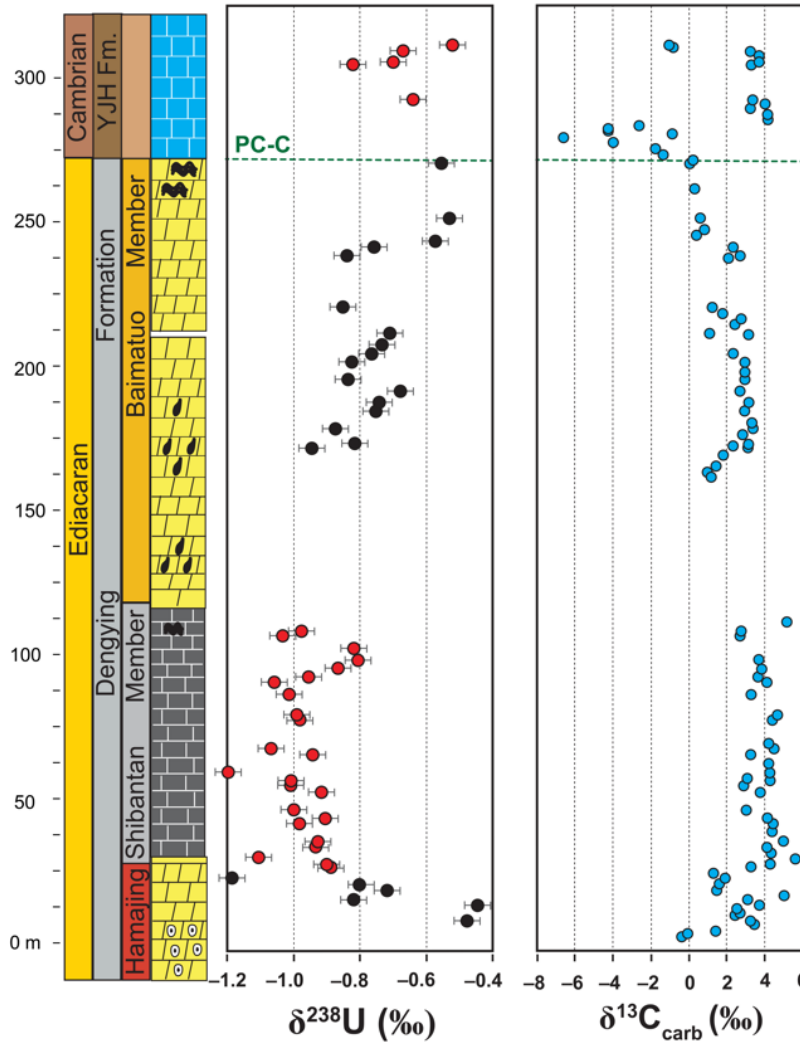
In the SE ocean: ~0.6% of seafloor was overlain by anoxic waters

伊迪卡拉纪多细胞动物出现与消亡与海洋环境的关系

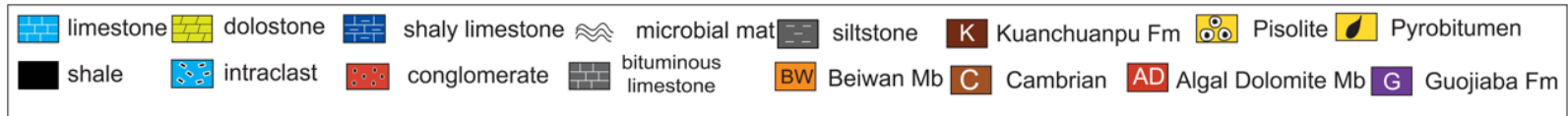
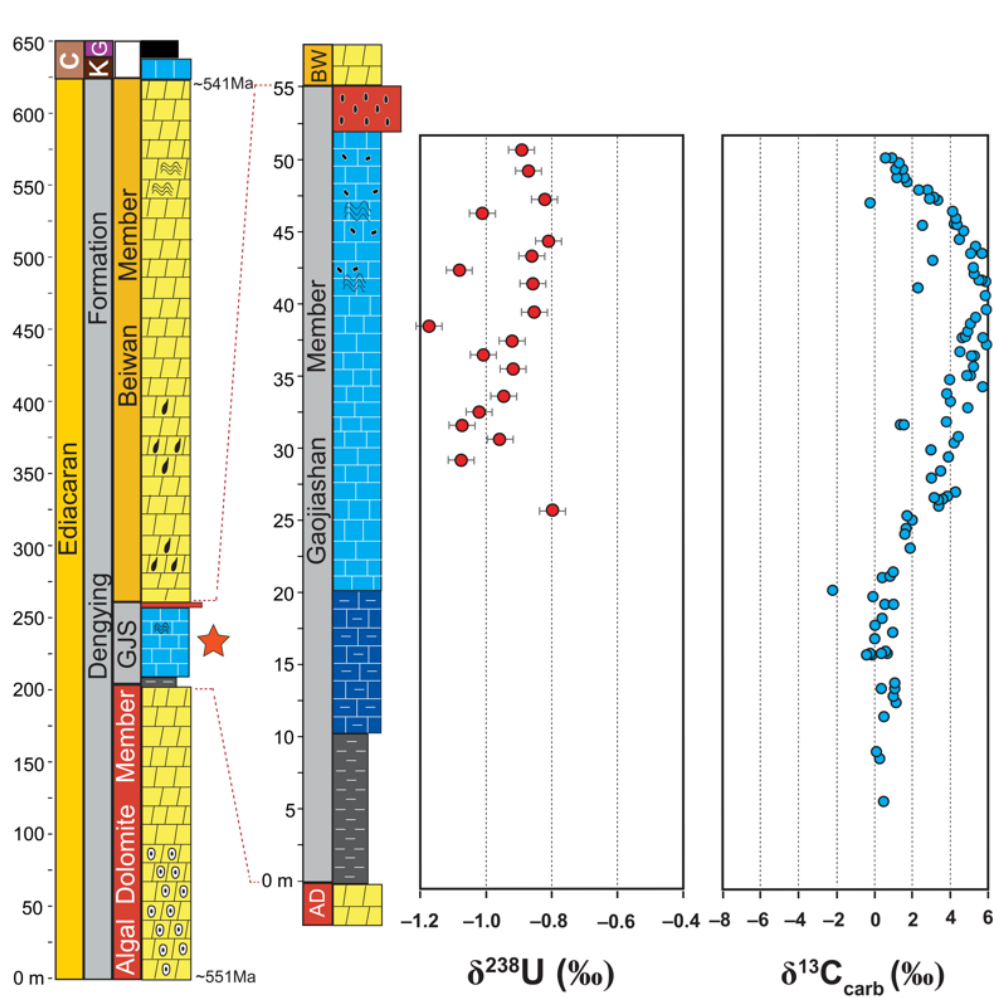


伊迪卡拉纪多细胞动物出现与消亡与海洋环境的关系

A Wuhe section, Hubei Province

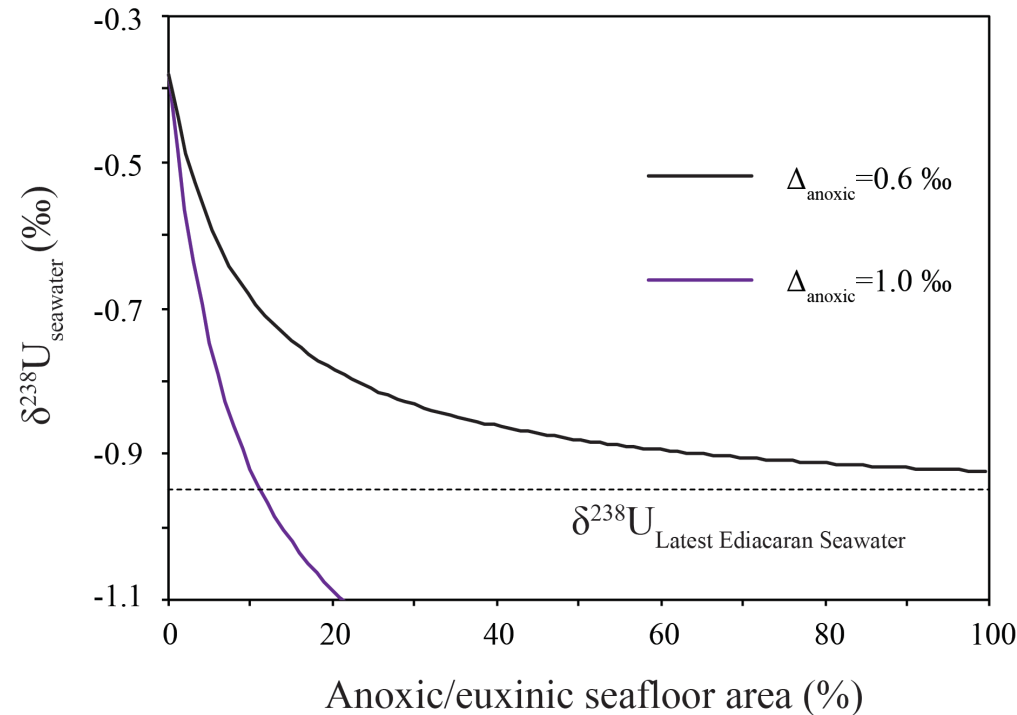


B Gaojiashan Section, Shaanxi Province



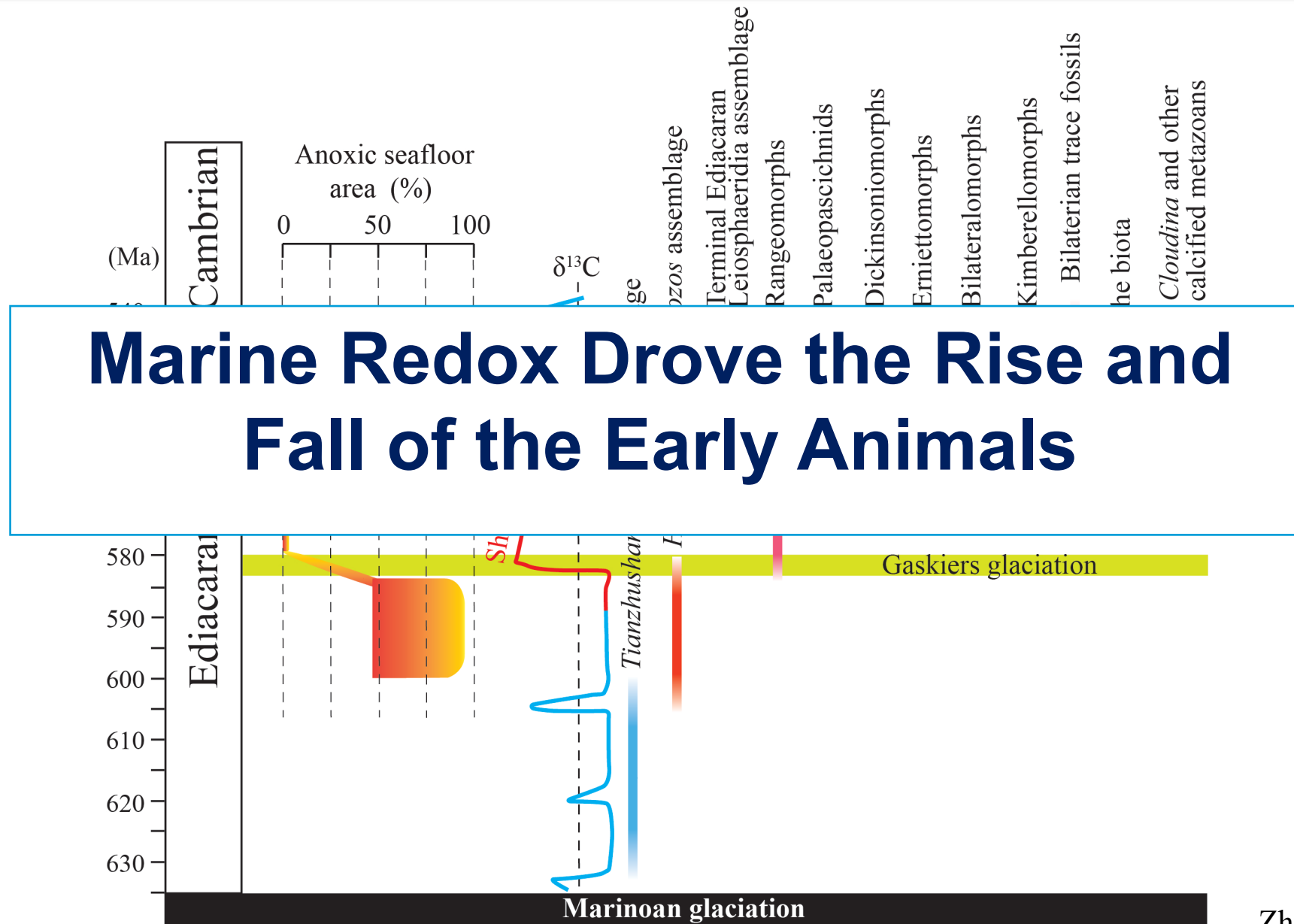
伊迪卡拉纪多细胞动物出现与消亡与海洋环境的关系

$$\delta^{238}\text{U}_{sw} = \delta^{238}\text{U}_{input} - \frac{f_{anoxic} \cdot k_{anoxic} \cdot \Delta_{anoxic} + f_{suboxic} \cdot k_{suboxic} \cdot \Delta_{suboxic} + f_{oxic} \cdot k_{oxic} \cdot \Delta_{oxic}}{f_{anoxic} \cdot k_{anoxic} + f_{suboxic} \cdot k_{suboxic} + f_{oxic} \cdot k_{oxic}}$$

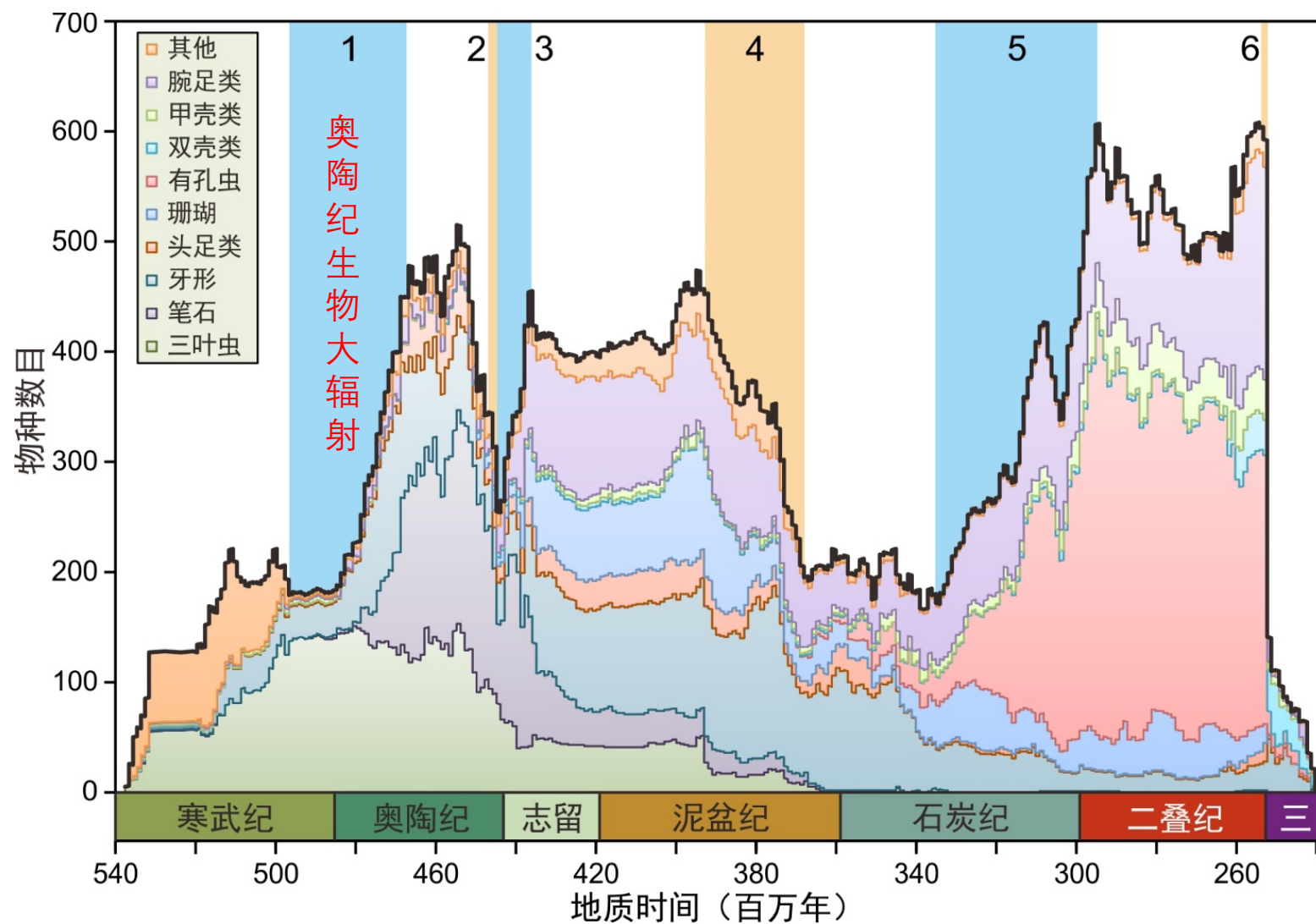


In the latest Ediacaran ocean: almost 100% of seafloor was overlain by anoxic waters

伊迪卡拉纪多细胞动物出现与消亡与海洋环境的关系



奥陶纪生物大辐射与全球海洋氧化还原状态变化



奥陶纪生物大辐射与全球海洋氧化还原状态变化

Minimum and maximum values
From modern trace metal oceanography and lab experiments

Table 1 Minimum and maximum values included in metal mass balances.

Variable	Minimum value	Maximum value
$\Delta^{238}\text{U}_{\text{eux}}$ ‰	0.4 ²⁰	0.8 ²⁰
$\Delta^{238}\text{U}_{\text{eux.loc}}$ ‰	0.4 ²⁰	0.8 ²⁰
$\Delta^{98}\text{Mo}_{\text{eux}}$ ‰	-0.8 ⁴⁷	0 ³¹
$\Delta^{98}\text{Mo}_{\text{carb}}$ ‰	0.8 ⁴⁷	0 ³¹
F_{riv}		
b_{U}		
b_{M}		
b_{U}		
b_{M}		
b_{U}		
b_{M}		
b_{U}		
b_{M}		
$\delta^{23}\text{S}$		
$\delta^{98}\text{S}$		
$\Delta^{23}\text{S}$		
$\Delta^{98}\text{S}$		
$\Delta^{23}\text{S}_{\text{carb.loc}}$ ‰	0.2 ⁴⁸	0.4 ⁴⁸
$f_{\text{ox.lim}}$ %	83.89 ³⁶	100 (physical limit)
f_{red} %	0	100- f_{eux}

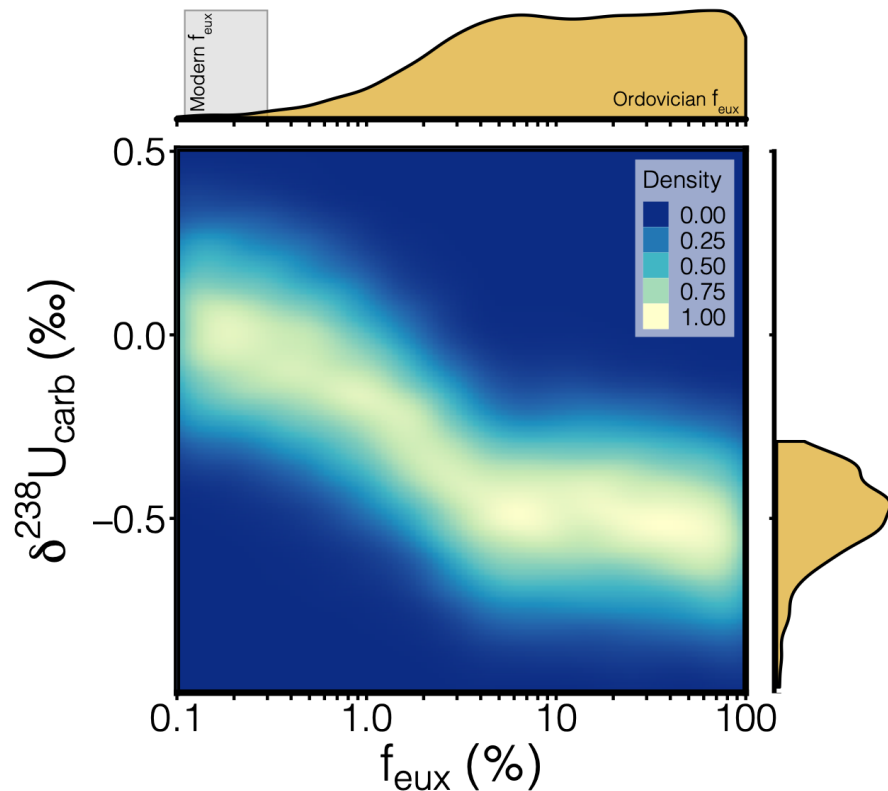
Mass balance models run 1000 times
Each run uses a random subsample between minimum and maximum 'constant' values

^aCalculated by unit conversion.
^bCalculated as 5th and 95th percentile of ocean-draining rivers.
^cReferences indicate that negative fractionations are possible under reducing conditions. As quantitative constraint on the magnitude of these fractionations is poor, the magnitude of the negative fractionation is parameterized to match the positive fractionation. See Supplementary Fig. 6 for alternative parameterizations.

24 variables
treated as
constants in
conventional mass
balance models

奥陶纪生物大辐射与全球海洋氧化还原状态变化

- Fully coupled concentration-isotope model: 3 redox sensitive sinks, Monte Carlo mass balance



$$\frac{d[U]_{sw}}{dt} = F_{riv} - F_{oxic} - F_{red} - F_{eux}$$

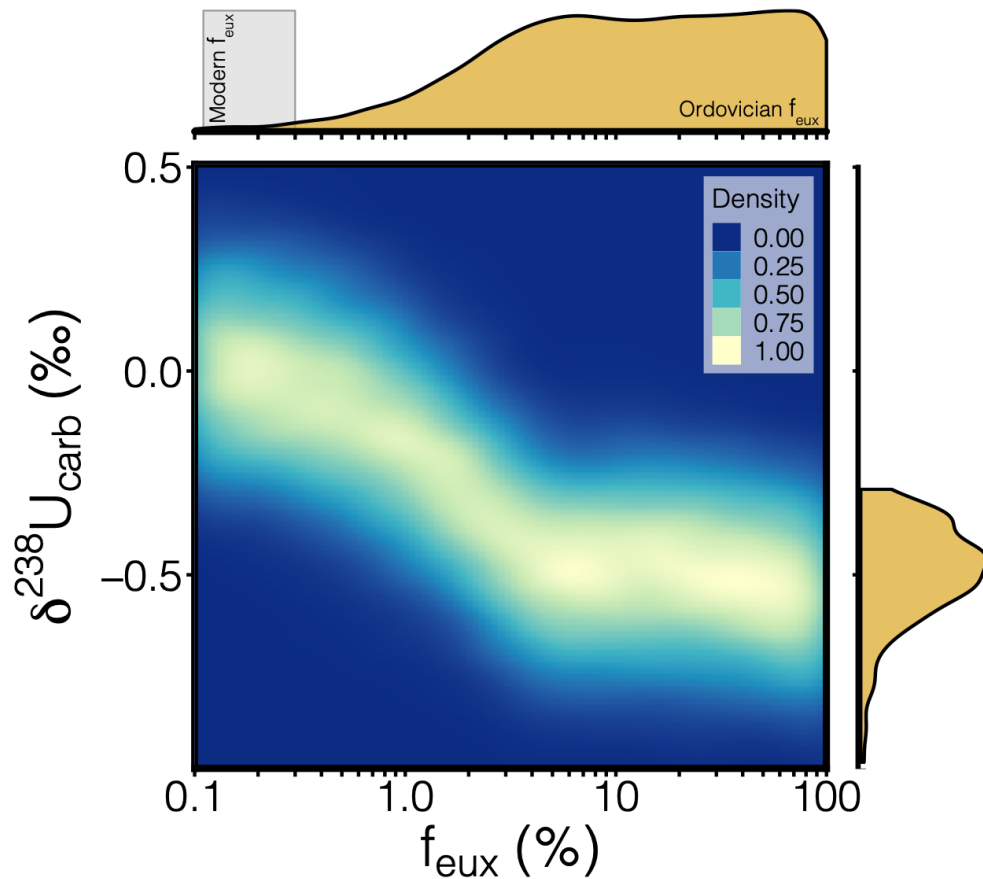
$$\begin{aligned} \frac{d[U]_{sw} \delta^{238}U_{sw}}{dt} = & F_{riv} \delta^{238}U_{riv} - F_{oxic} (\delta^{238}U_{sw} + \Delta^{238}U_{oxic}) \\ & - F_{red} (\delta^{238}U_{sw} + \Delta^{238}U_{red}) - F_{eux} (\delta^{238}U_{sw} + \Delta^{238}U_{eux}) \end{aligned}$$

Fluxes for redox-sensitive sinks are defined as

$$F_i = b_i A_i \alpha_i \frac{[Me]_{sw}}{[Me]_{M.sw}}$$

奥陶纪生物大辐射与全球海洋氧化还原状态变化

- Fully coupled concentration-isotope model: 3 redox sensitive sinks, monte carlo

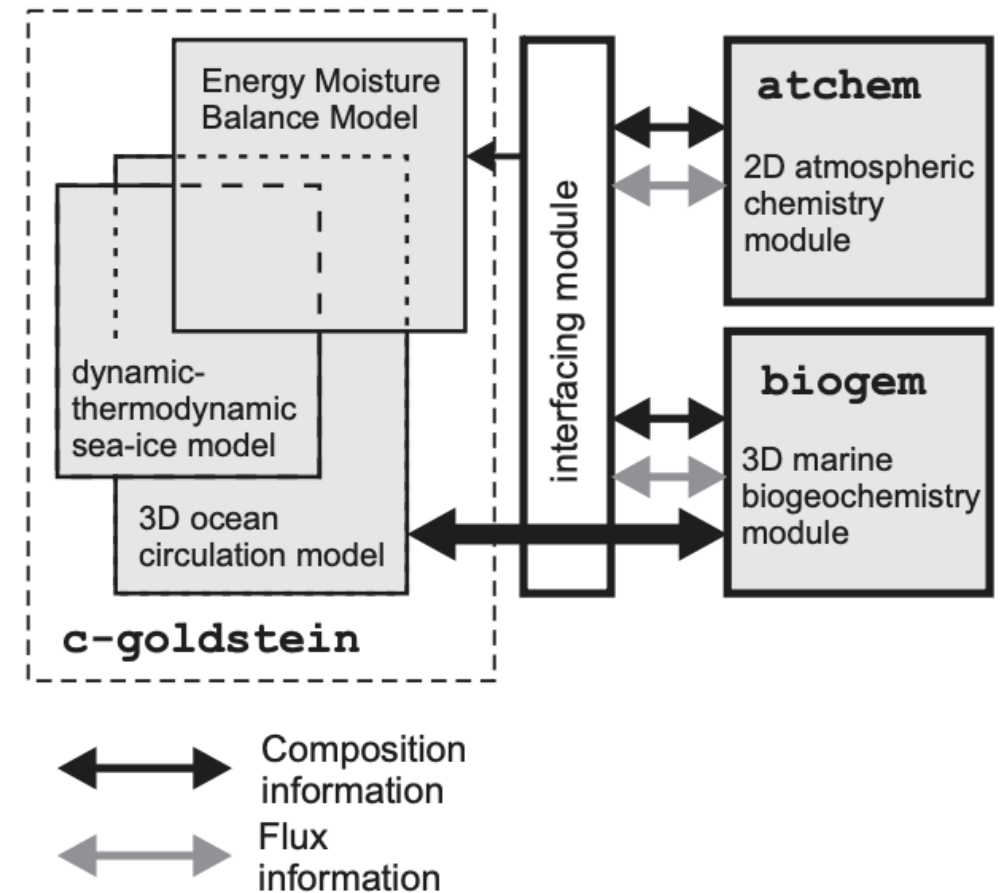
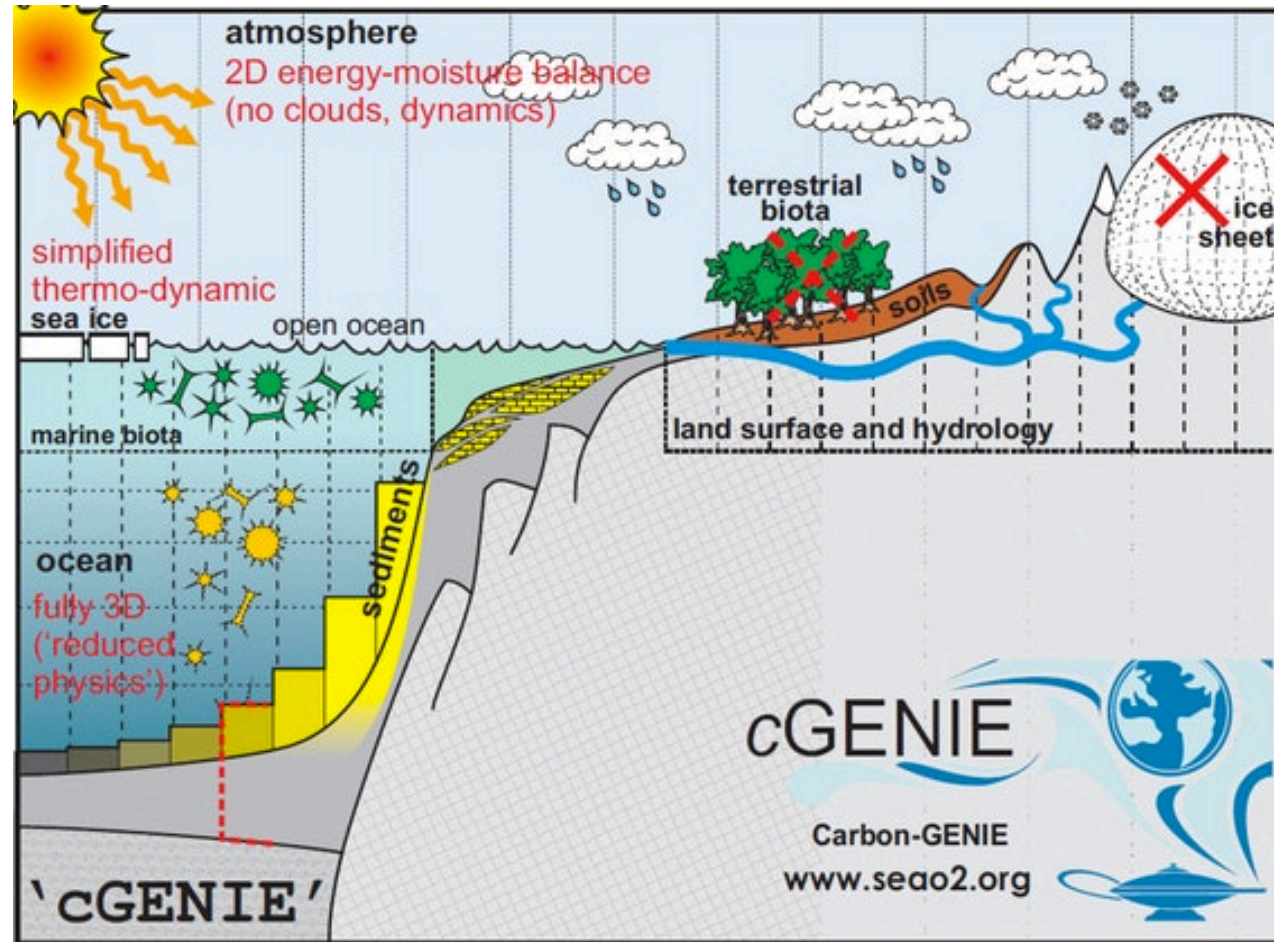


But what about interpretations of global cooling and atmospheric oxygenation through the Ordovician?...

...we use the cGENIE Earth system model to evaluate whether these trends are compatible.

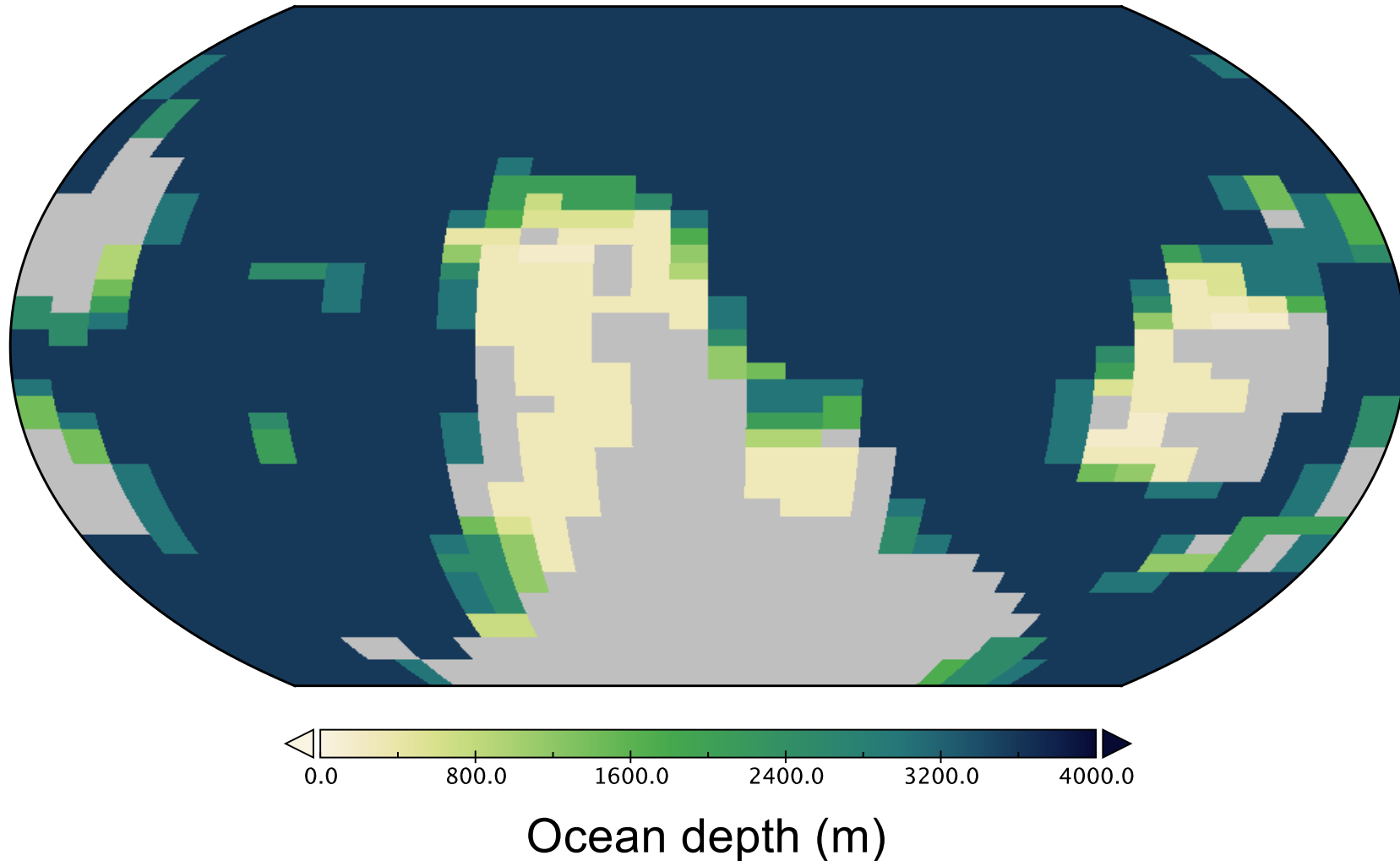
奥陶纪生物大辐射与全球海洋氧化还原状态变化

- cGENIE Earth System model



奥陶纪生物大辐射与全球海洋氧化还原状态变化

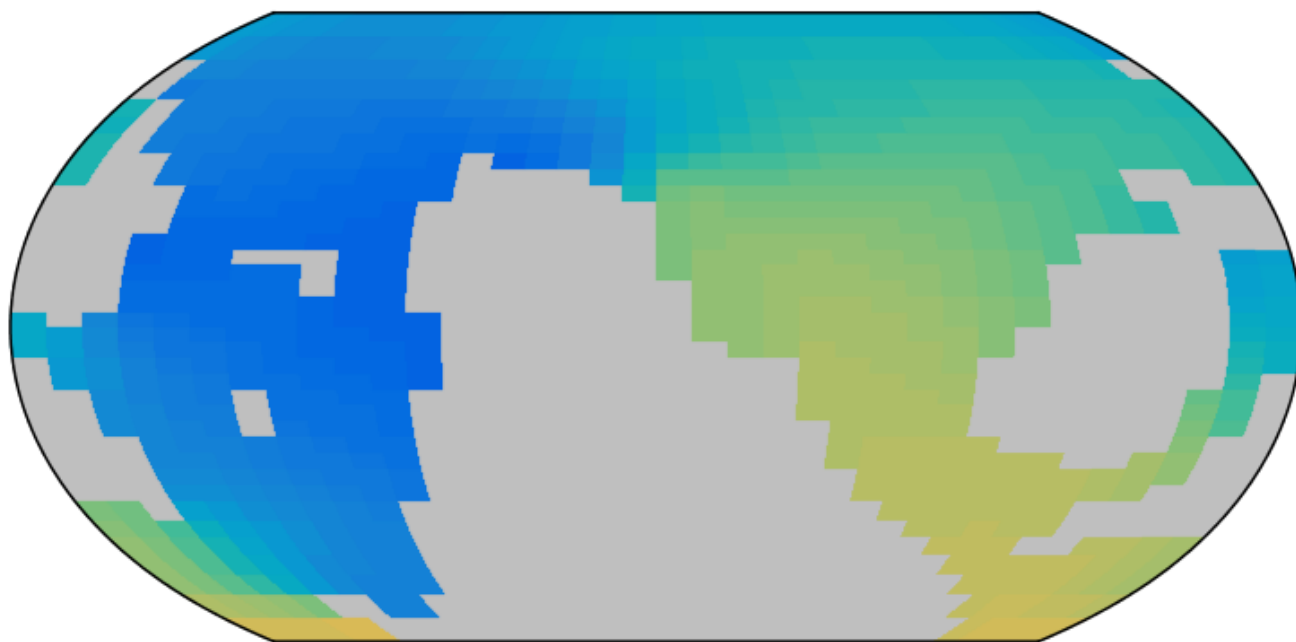
- Ordovician tectonic configuration in cGENIE



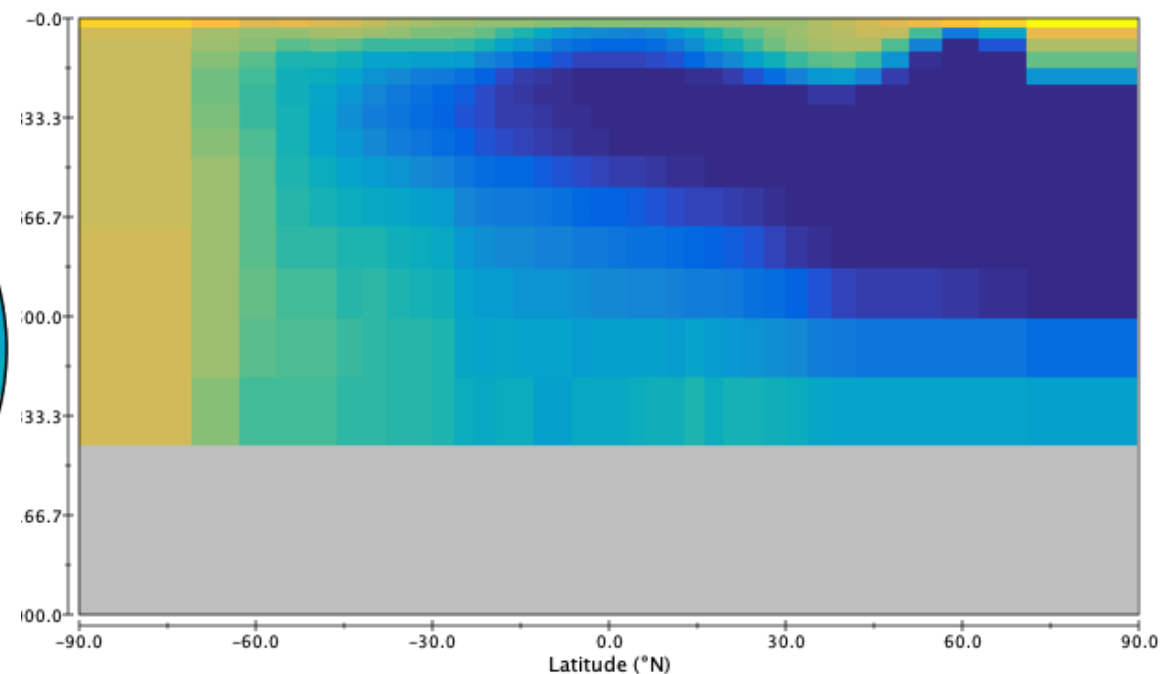
奥陶纪生物大辐射与全球海洋氧化还原状态变化

- Example Ordovician marine O_2 profiles: $16 \times CO_2$, $0.4 \times O_2$, $0.5 \times PO_4$

Deep ocean floor O_2



Water column O_2 vs latitude



Dissolved O_2 (mol kg^{-1})

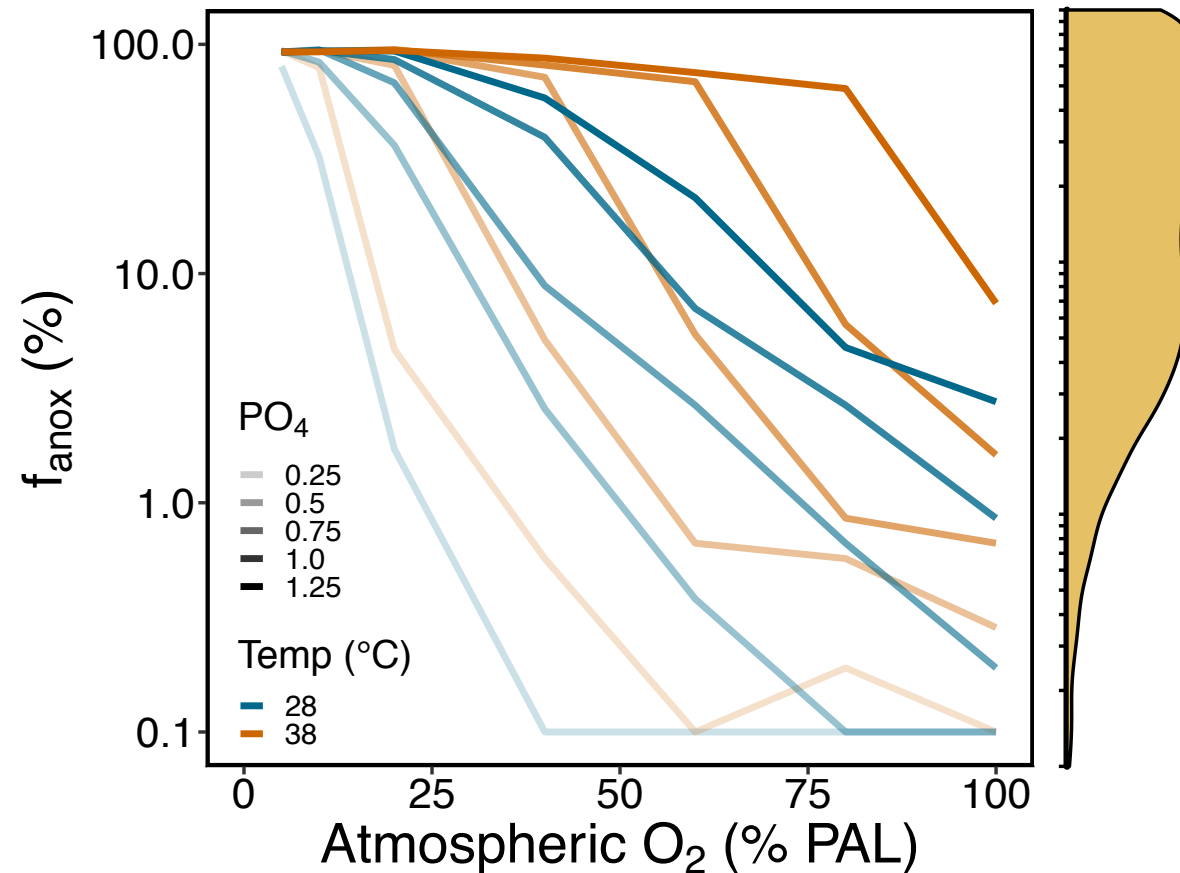
dissolved oxygen (O_2) (mol kg^{-1})



Data Min = 0.000017, Max = 0.000098, Mean = 0.000054

奥陶纪生物大辐射与全球海洋氧化还原状态变化

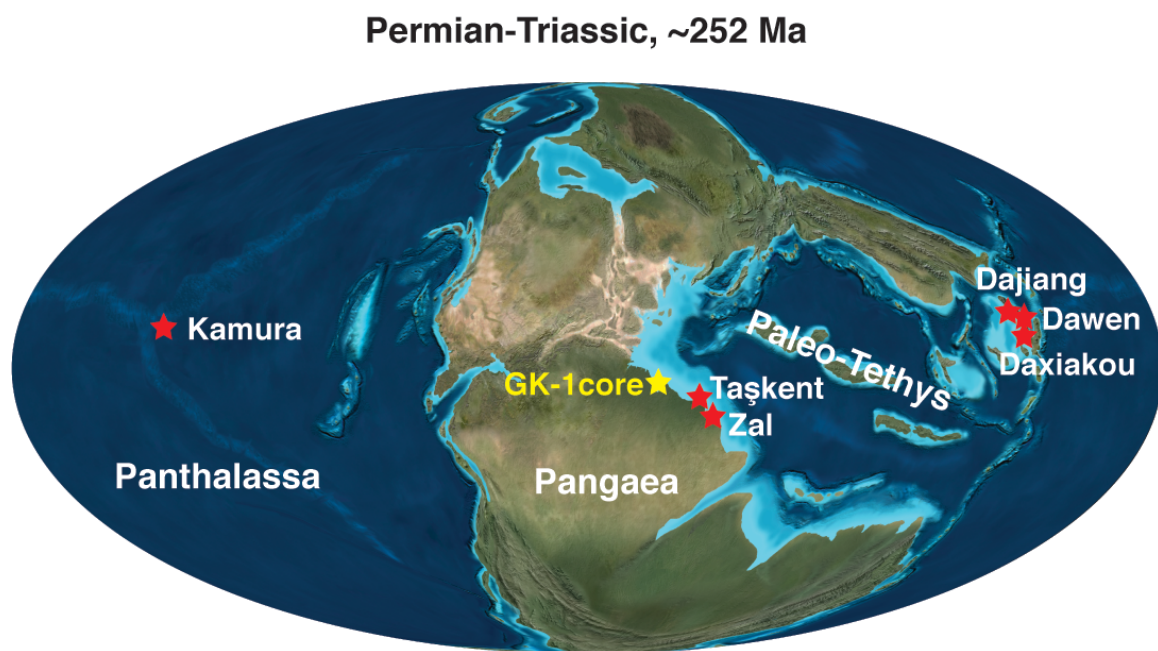
- Summarizing 70 cGENIE simulations – compared to uranium f_{anox} estimates



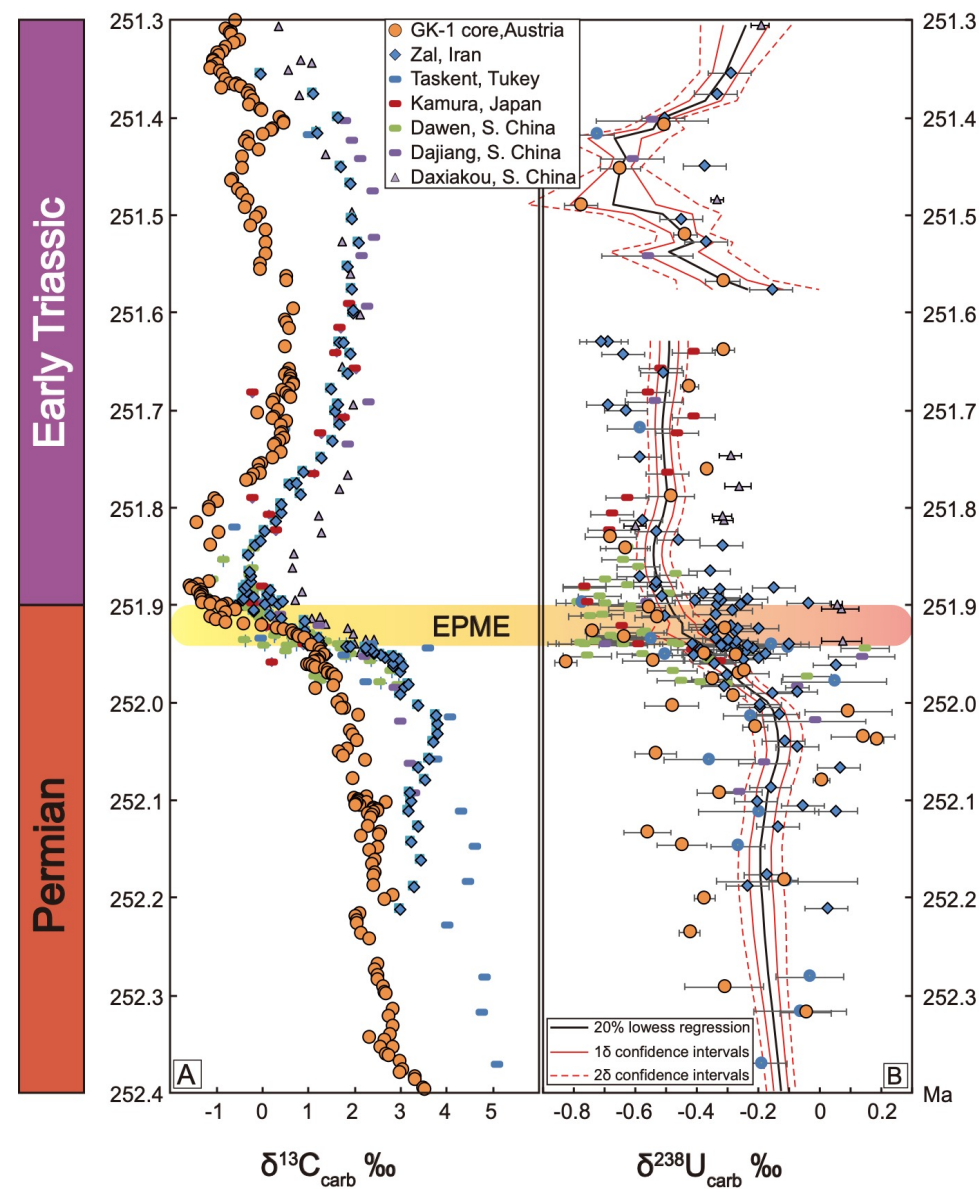
There must have been a corresponding increase in global marine productivity to counterbalance the expected effects of bottom-water oxygenation and maintain an unchanged seafloor redox landscape.

二叠纪末生物大灭绝与海洋缺氧

A global compilation of $\delta^{238}\text{U}$ data from measurements across the PTB.



Zhang et al., 2020b, GCA



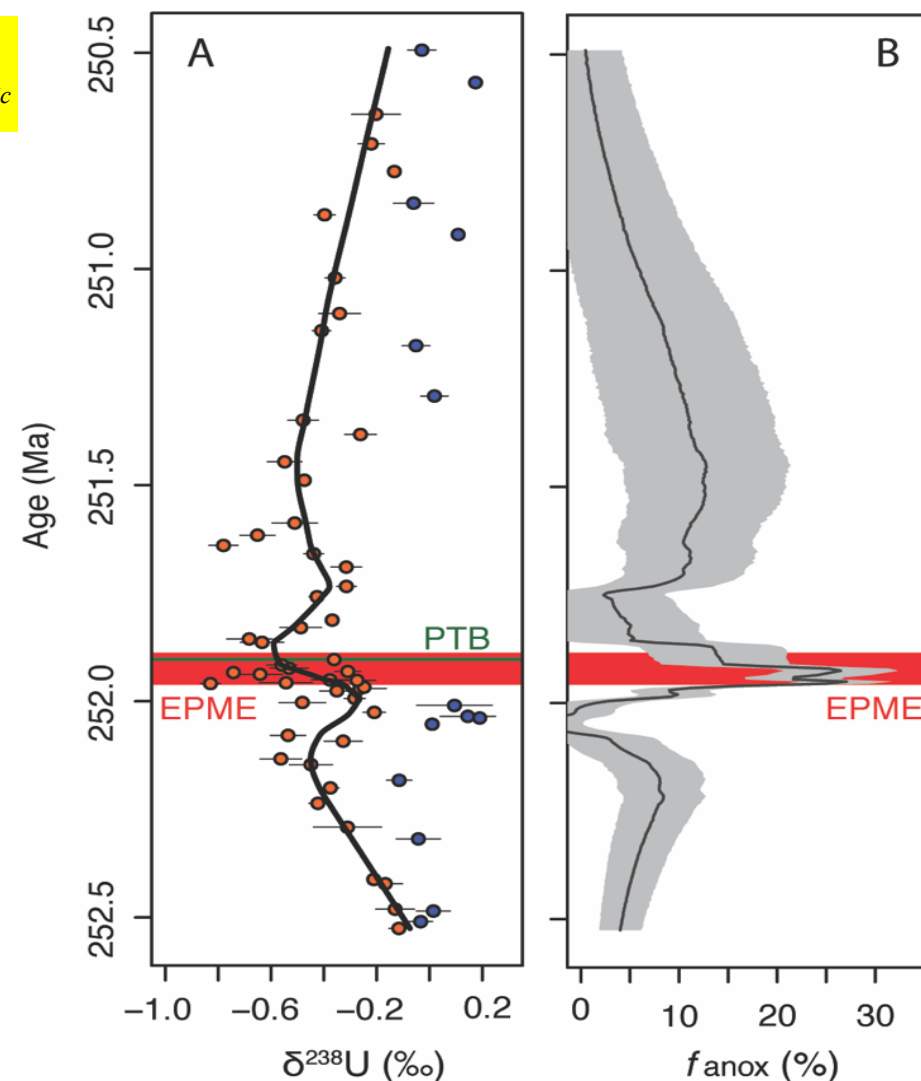
二叠纪末生物大灭绝与海洋缺氧

A simple dynamic model (coupled with a simplified Monte Carlo framework)

$$\frac{d(N_{sw} \cdot \delta^{238}U_{sw})}{dt} = F_{input} \cdot \delta^{238}U_{input} - F_{anoxic} \cdot \delta^{238}U_{anoxic} - F_{suboxic} \cdot \delta^{238}U_{suboxic} - F_{oxic} \cdot \delta^{238}U_{oxic}$$

- A. $\delta^{238}U$ data with LOWESS smoothing fit.
- B. Model estimates of anoxic seafloor area (f_{anox}) across the Permian-Triassic boundary.

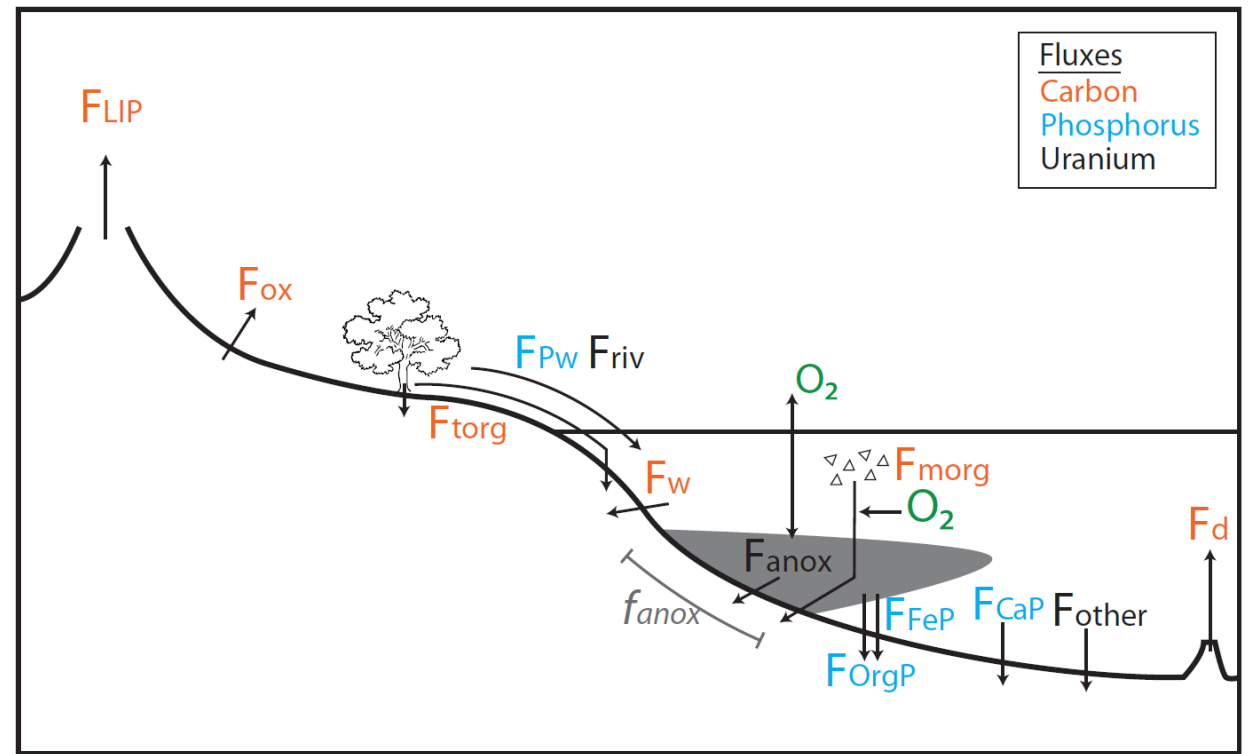
The first anoxic episode lasted for ~60 kyr while anoxic seafloor area expanded to cover >18% of the entire seafloor, coeval with the main EPME horizon, agreeing with marine anoxia as a proximate kill mechanism for the EPME.



U同位素与生物地球化学模型COPSE结合

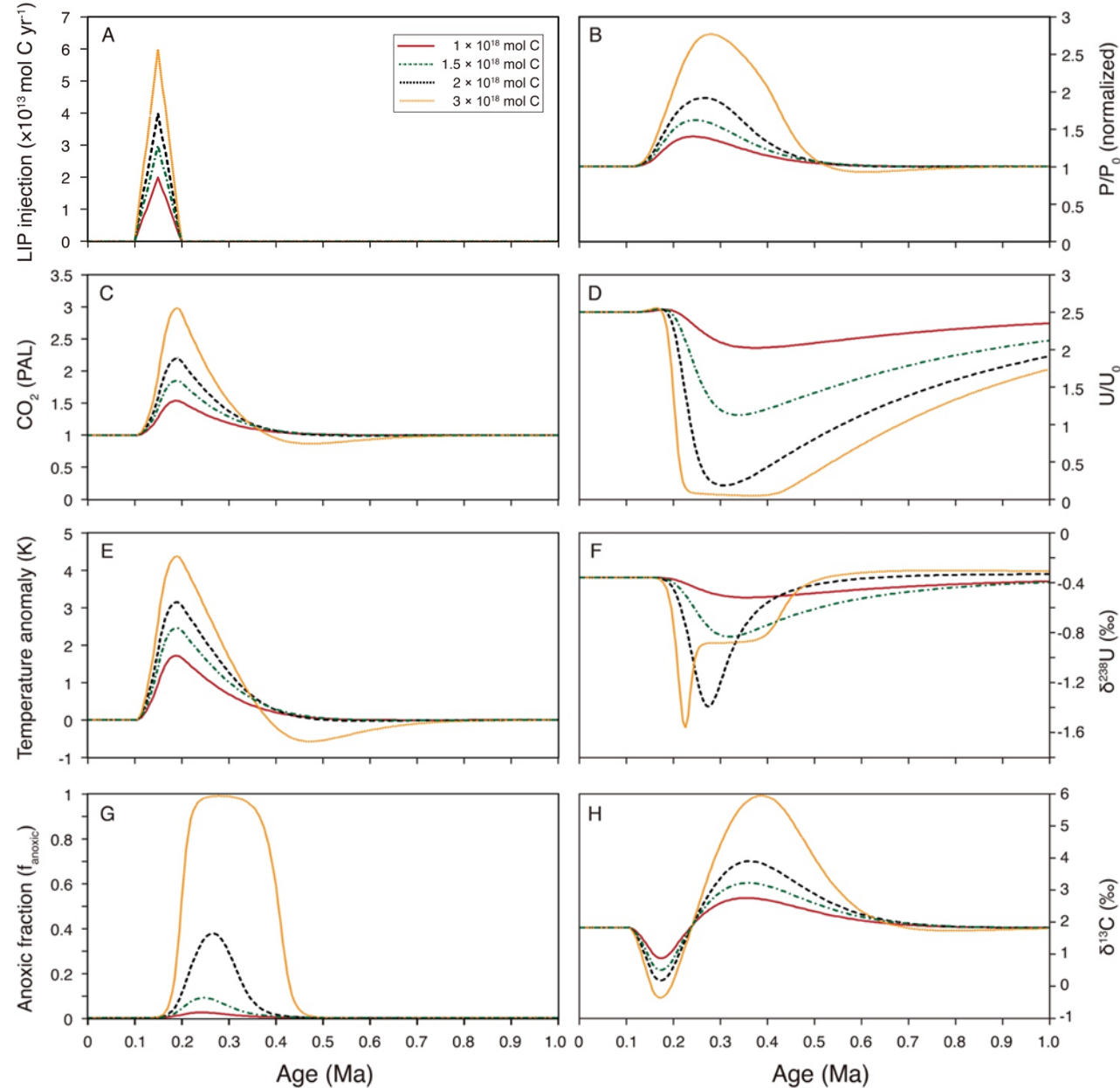
Biogeochemical model of coupled marine C-P-U cycles

Variable/Process	Equation	Units
<i>Differential equations</i>		
Ocean-atmosphere C	$dA/dt = F_d - F_w + F_{ox} - F_{morg} - F_{torg} + F_{LIP}$	mol C yr ⁻¹
Ocean P balance	$dP/dt = F_{Pw} - F_{OrgP} - F_{FeP} - F_{CaP}$	mol P yr ⁻¹
Ocean U balance	$dU/dt = F_{riv} - F_{anoxic} - F_{other}$	mol U yr ⁻¹
C isotope balance	$d\delta_A/dt = (F_{in} \times (\delta_{in} - \delta_A) + F_{LIP} \times (\delta_{LIP} - \delta_A) - F_{org} \times (-\Delta))/A$	‰ yr ⁻¹
U isotope balance	$d\delta_U/dt = (F_{riv} \times (\delta_{riv} - \delta_U) - F_{anoxic} \times \Delta_{anoxic} - F_{other} \times \Delta_{other})/U$	‰ yr ⁻¹
<i>Key variables</i>		
Atmospheric CO ₂	$CO_2 = (A/A_0)^2$	PAL
Global temperature	$\Delta T = k_{CO_2} \times \ln(CO_2) - k_{SL} \times (age/570)$	K, age (Ma)
Plant CO ₂ response	$f(CO_2) = 2 \times CO_2 / (1 + CO_2)$	-
Weathering kinetics	$f(T) = \exp(0.09 \times \Delta T)$	-
Ocean anoxic fraction	$f_{anoxic} = 1 / (1 + e^{-k_{anox} \times (ku \times (P/P_0) - pO_2)})$	-, pO ₂ (PAL)
<i>Carbon fluxes</i>		
Silicate weathering	$F_w = k_w \times E \times W \times V \times f(CO_2) \times f(T)$	mol C yr ⁻¹
Carbonate degassing	$F_d = k_d \times D$	mol C yr ⁻¹
C _{org} oxidation	$F_{ox} = k_{ox}$	mol C yr ⁻¹
Carbonate weathering	$F_{cw} = k_{carb}$	mol C yr ⁻¹
Aggregate C input	$F_{in} = F_d + F_{ox} + F_{cw}$	mol C yr ⁻¹
Terrestrial C _{org} burial	$F_{torg} = k_{torg} \times V \times f(CO_2)$	mol C yr ⁻¹
Marine C _{org} burial	$F_{morg} = k_{morg} \times (P/P_0)$	mol C yr ⁻¹
Total C _{org} burial	$F_{org} = F_{morg} + F_{torg}$	mol C yr ⁻¹
<i>Phosphorus fluxes</i>		
P weathering	$F_{Pw} = k_{Pw} \times (F_w/k_w)$	mol P yr ⁻¹
Organic P burial	$F_{OrgP} = F_{morg} \times ((f_{anoxic}/CP_{anoxic}) + ((1 - f_{anoxic})/CP_{oxic}))$	mol P yr ⁻¹
Fe-sorbed P burial	$F_{FeP} = k_{FeP} \times (1 - f_{anoxic})$	mol P yr ⁻¹
Ca-bound P burial	$F_{CaP} = k_{CaP} \times (P/P_0)$	mol P yr ⁻¹
<i>Uranium fluxes</i>		
U weathering	$F_{riv} = k_{riv} \times F_w/F_{w0}$	mol U yr ⁻¹
Anoxic U sink	$F_{anoxic} = k_{anoxic} \times (U/U_0) \times f_{anoxic}/f_{anoxic0}$	mol U yr ⁻¹
Other U sinks	$F_{other} = k_{other} \times (U/U_0) \times (1 - f_{anoxic})/(1 - f_{anoxic0})$	mol U yr ⁻¹



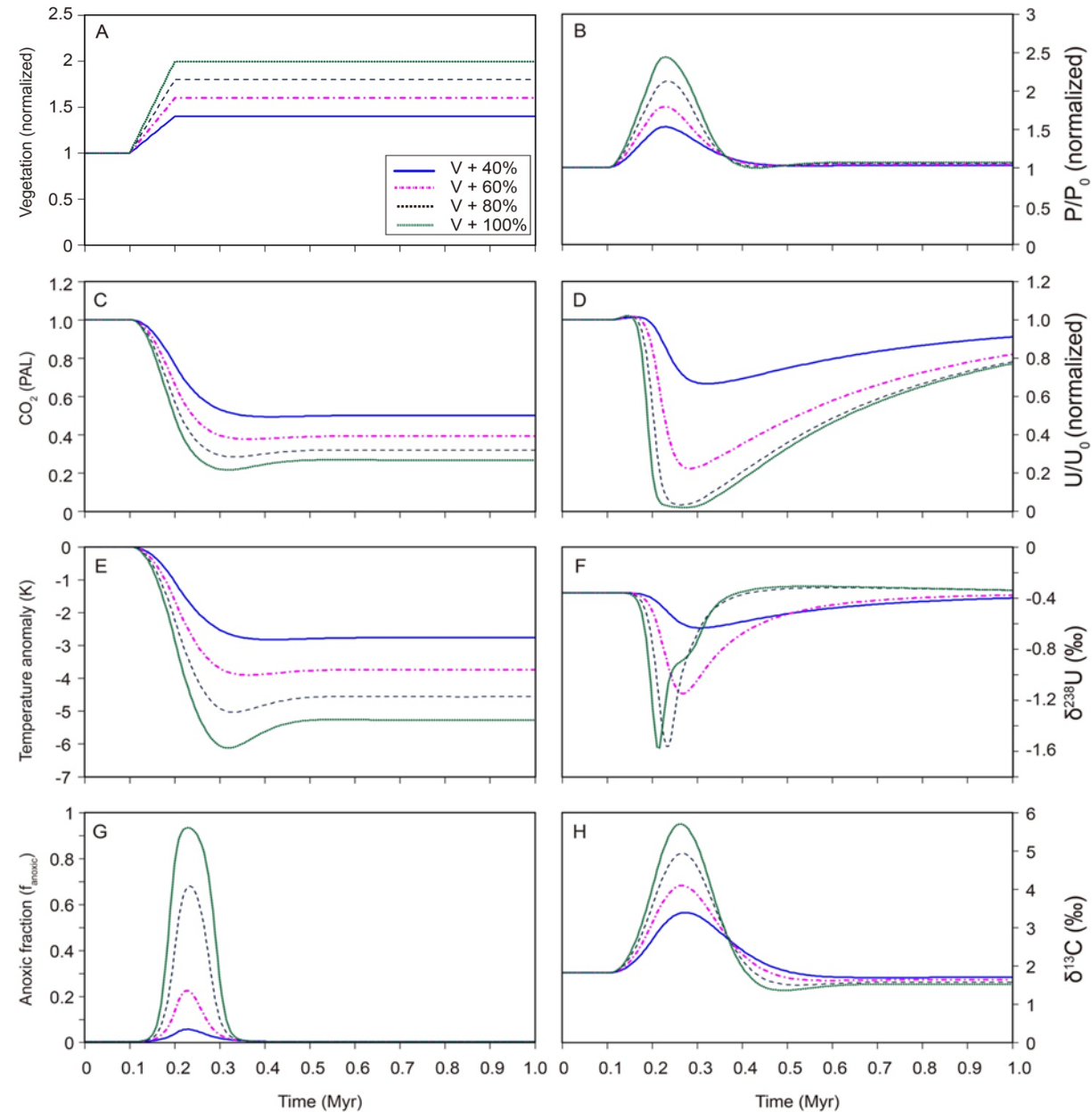
U同位素与生物地球化学模型COPSE结合

**C-P-U cycle model results
for cumulative carbon
releases of 1×10^{18} mol C,
 1.5×10^{18} mol C, 2×10^{18} mol
C, 3×10^{18} mol C.**



U同位素与生物地球化学模型COPSE结合

C-P-U cycle model results for increases in vegetation coverage of 40%, 60%, 80% and 100%.





谢谢大家！

敬请评判指正！